

Boundary and interface correlators,
algebras, and spin chains in 4d $N=4$

Mykola Dedushenko
SCGP, Stony Brook

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Southeast University

Subject: local operators at BPS boundaries and interfaces in 4d $N=4$ SYM

More precisely, boundaries and interfaces preserving 3D $N=4$ SUSY

Goal: compute boundary and interface correlators (and more)

3D $N=4$ theories have well-studied protected sectors

[Chester-Lee-Pufu-Yacoby, Beem-Peelaers-Rastelli, MD-Fan-Pufu-Yacoby...]

4D $N=4$ theories have well-studied SUSY boundary conditions [Gaiotto-Witten]

Combine the two subjects: protected sectors at half-BPS boundaries

[also some progress by Wang and Komatsu]

Protected sector: pick Q (think of "Q+S" in flat space SCFT)

$Q^2 = \text{rotation} + R\text{-symmetry}$; study cohomology.

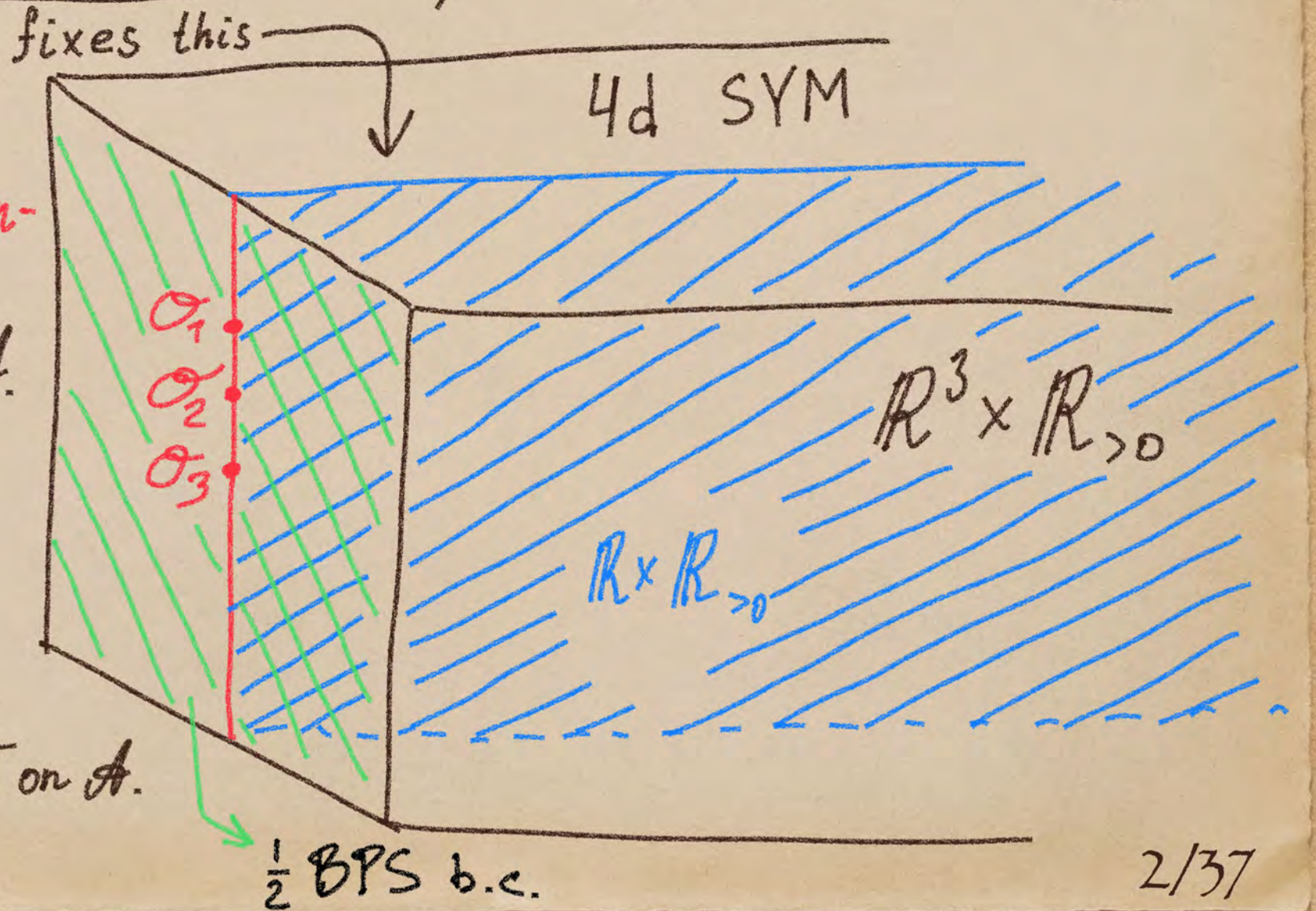
* Bulk operators form a commutative algebra \mathcal{B} .

* Boundary operators form a non-commutative algebra \mathcal{A} .

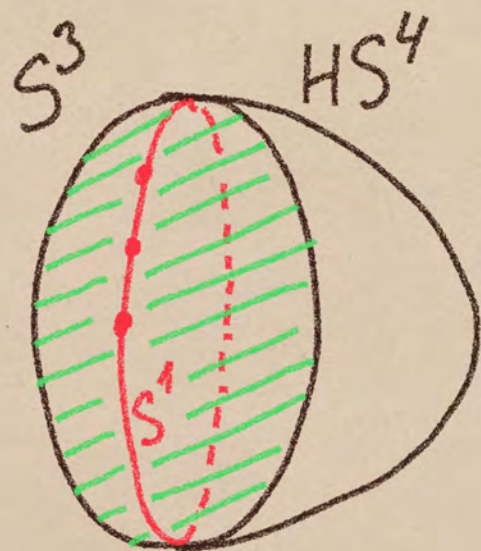
* Bulk-boundary morphism $\rho: \mathcal{B} \rightarrow \mathcal{A}$.
 $\rho(\mathcal{B}) \subset \mathcal{Z}[\mathcal{A}]$

* Study correlators on $(H)S^4$.

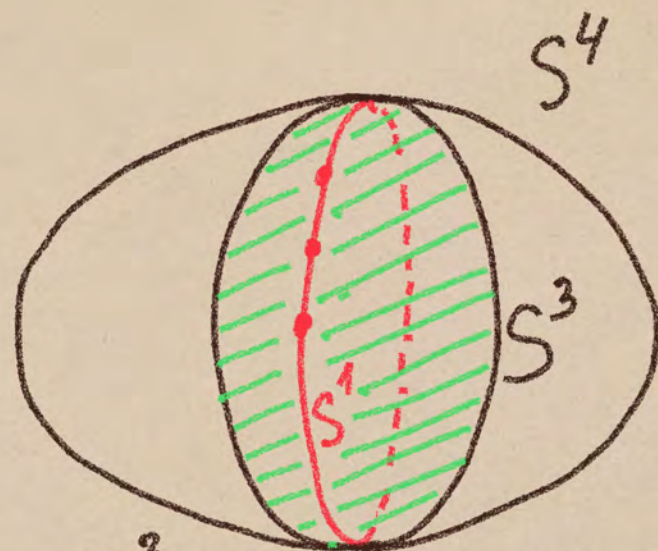
* Boundary correlators are encoded in a twisted trace \mathcal{T} on \mathcal{A} .



Sphere setup:



or



Operators are inserted on $S^1 \subset S^3 \implies$ appearance of trace.
 (twisted by $\mathbb{Z}_2 \subset SU(2)$ R-symm. and boundary flavor symm.)

$$S^3 = \partial HS^4$$

$$U \quad U$$

$$S^1 = \partial HS^2$$

HS^2 supports a 2d theory

What we will find

* Associative algebra A with a twisted trace T .

* Encodes boundary correlators.

* Correlators: $\langle \sigma_1 \dots \sigma_n \rangle = T(\sigma_1 \dots \sigma_n)$.

* Examples: $U(\mathfrak{g})$, $\mathcal{W}(\mathfrak{g}, e)$, $\mathcal{Y}(\mathfrak{g}, N)$.

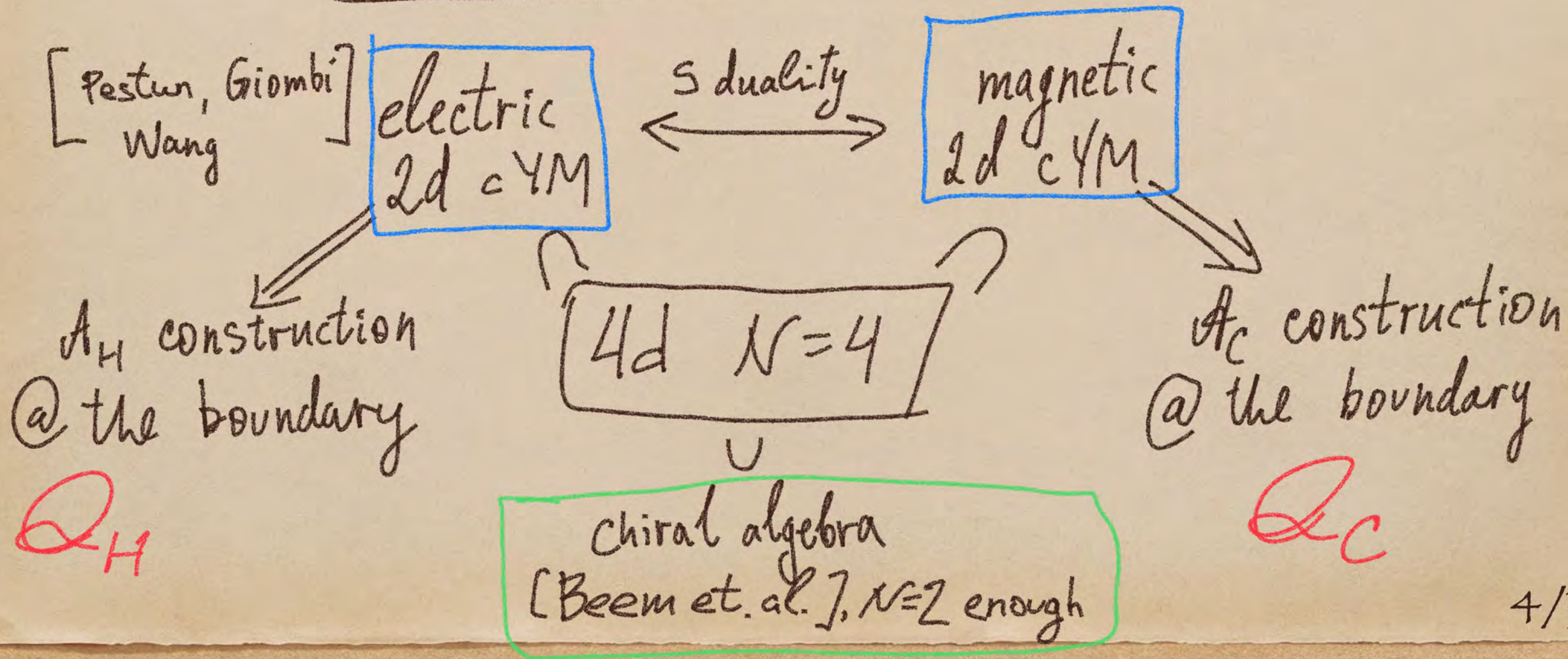
* Coproduct of $\mathcal{Y}(\mathfrak{g}, N)$ plays important role.

$$T[u; N_1 + N_2]_c^a \mapsto T[u; N_1]_b^a T[u; N_2]_c^b$$

→ allows to construct traces from simple building blocks

- $Q^2 = \text{rotation} + R\text{-symmetry}$
 \hookrightarrow fixed locus supports a lower-dimensional QFT.

Protected Sectors in 4d $N=4$ SYM



We are looking for: (A_H, T_H) and (A_C, T_C)

- Higgs and Coulomb branch sectors on the half-space.
- A_H : $\frac{1}{2}\mathbb{Z}$ -filtered associative algebra; $T_H: A_H \rightarrow \mathbb{C}$ - twisted trace.
$$T_H(xy) = T_H(e^{-m} (-1)^{2R_H} yx)$$
- (A_H, T_H) encodes boundary correlators for electric 2d cYM
- Same for (A_C, T_C) : boundary correlators for magnetic 2d cYM.

* In 3d, (A, T) encodes equivariant short
star-product on a hyper-Kähler cone
(Etingof-Stryker)

* In the 4d/3d system, (A, T) still encodes
quantization, BUT the underlying space
is Poisson \leftarrow moduli of vacua on $\mathbb{R}^3 \times \mathbb{R}_+$.

Example # 1

→ Dirichlet boundary conditions

⇒ Dirichlet b.c. in 2d cYM ⇒ pert. 2d BF on D^2
(w/ B @ ∂D^2)

⇒ Poisson sigma-model into $g_{\mathbb{C}}^*$

⇒ Kontsevich \star -product (Cattaneo-Felder)

\Rightarrow Quantization of $g_c^* = U(g_c)$.

$A_H = U(g_c)$ - algebra of boundary operators

Trace T_H is determined by its value on the center $Z[U(g_c)]$
(follows from Ward id's)

Algebra of bulk operators: (in 2d YM)

$\mathcal{B}_H =$ gauge-inv. poly in $F_{\mu\nu} = F_{12}$
Can be thought of as $\mathbb{C}[g]^g \simeq \mathbb{C}[\#]^w$

Bulk-boundary map:

$$\rho_H: \mathcal{B}_H \longrightarrow \mathcal{A}_H; \quad \underline{\int_H(\mathcal{B}_H) \simeq \mathbb{Z}[\mathcal{A}_H]}$$

For $\mathcal{U}(\mathfrak{g}_{\mathbb{C}})$,

$$\rho_H: \mathbb{C}[\hbar]^W \xrightarrow{\cong} \mathbb{Z}[\mathcal{U}(\mathfrak{g}_{\mathbb{C}})]$$

→ Harish-Chandra isomorphism

→ encodes physics of the map ρ_H

Trace can be expressed through traces on Verma modules V_g of $U(\mathfrak{g}_\mathbb{C})$:

$$T_H(\mathcal{O}) = \# \int [da] e^{-\frac{i\pi}{\tau} \text{Tr}(a^2)} \Delta(a) \text{Tr}_{V_{-ia-\rho}} \left(e^{-2\pi m \cdot B} \mathcal{O} \right)$$

\uparrow \uparrow \uparrow \uparrow
 $\Delta(a) = \prod_{\alpha \in \Phi_+} \langle \alpha, a \rangle$

boundary mass $B \in \mathfrak{g}_\mathbb{C}$

\swarrow
 GNO dual
 (or L)

- This is compatible with S-duality
- T_H & T_C are finite linear combinations of traces over the Verma modules in 3d. [Gaiotto-Okazaki]

Here: 4d/3d system; continuous linear comb.

Example #2

→ Neumann. b.c. enriched by a 3d theory \mathcal{T} .

Equivalent description:

- 1) Take $[\text{Dirichlet}] \otimes \mathcal{T}$
- 2) Gauge $\text{Diag}(G \times G)$ via a 3D vector multiplet

3D gauging corresponds to quantum Hamiltonian reduction of A_H .

$$A_H = \left(\frac{A_H(\mathcal{T}) \otimes \mathcal{U}(\mathfrak{g}_{\mathbb{C}})}{(\mu)} \right)^{\mathfrak{g}}$$
$$= [A_H(\mathcal{T})]^{\mathfrak{g}}$$

A_c is obtained from $A_c(\mathcal{T})$
as a central extension:

$$0 \rightarrow \mathbb{C}[\hbar]^{\mathcal{W}} \xrightarrow{\text{bulk-boundary map}} A_c \longrightarrow A_c(\mathcal{T}) \longrightarrow 0$$

" A_c is obtained from $A_c(\mathcal{T})$ by promoting
masses to dynamical fields"

Writing trace is easy.

Skip to save some time.

Example # 3

→ Nahm pole b.c.

$$\vec{X} \sim \frac{\vec{t}}{y} \leftarrow \begin{array}{l} \text{su(2) triple} \\ \text{corresponding to} \end{array}$$

Triplet of $SU(2)_H$

$$\rho: \text{su(2)} \rightarrow \mathfrak{g}$$

*A modification of Dirichlet b.c.; $A_C \simeq \mathbb{C}$; A_H modified.

We find:

→ Space of boundary operators

moduli space of
Nahm's eqn's
on $\mathbb{R}^3 \times \mathbb{R}_+$

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Regular functions on Slodowy slice S_{t_+}

Fact: S_{t_+} has a natural Poisson structure; [Gan-Ginzburg]
Quantization \rightarrow finite \mathcal{W} algebra [I. Losev]

New challenges:

- $SU(2)_H$ R-symmetry mixes with gauge symmetry at the boundary
 \Rightarrow boundary R-charges are shifted.
- Singularity restricts boundary values of fields.
- Identification of boundary operators is interesting.

Conjecture

$\mathcal{A}_H \{ \text{Nahm pole } \rho \} \simeq \text{finite } W\text{-algebra}$
 $W(\mathfrak{g}_\mathbb{C}, t_+)$

→ Reminder: ρ determines grading on $\mathfrak{g}_\mathbb{C}$,
 $\mathfrak{n} \subset \mathfrak{g}_\mathbb{C}$ - nilpotent subalgebra of $\text{deg} < 0$.

$W(\mathfrak{g}_\mathbb{C}, t_+)$ is roughly a quantum Hamiltonian reduction
of $U(\mathfrak{g}_\mathbb{C})$ over \mathfrak{n} .

The most convincing check:

S-dual: Neumann b.c. + $T_g[G^v]$

e.g. for $SU(N)$: $\bigcirc_{V_{k-1}} - \bigcirc_{V_{k-2}} - \dots - \bigcirc_{V_1} - \square_N$

This theory is known to have
(central quotient) of $\mathcal{W}(\mathfrak{g}_c, t_+)$ for its A_c .

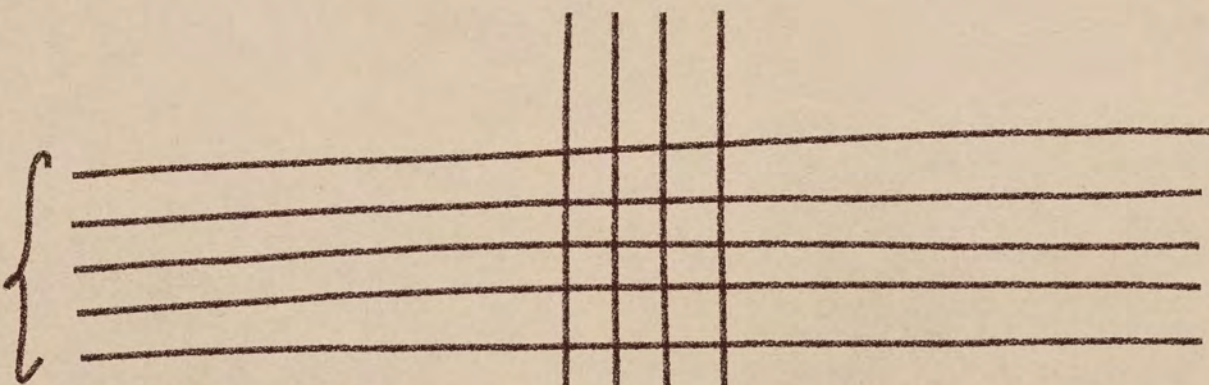
→ Can also write trace as a continuous linear combination of Verma traces.

→ A fact to appreciate: a Higgs/Coulomb branch of vacua on $\mathbb{R}^3 \times \mathbb{R}_{>0}$ is parametrized by the vevs of the appropriate boundary operators.

Interfaces

(Example # 4)

N
D3 branes



n D5 or NS5 branes

$A_H(n \text{ D5's})$

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$A_C(n \text{ NS5's})$

$A_H(n \text{ D5's}) \equiv A_{N,n}$ admits description as
 quantum Hamiltonian reduction of:

$$U(\mathfrak{gl}_n) \otimes W^{Nn} \otimes U(\mathfrak{gl}_N)$$

Left D3 branes
 generators $(\mathcal{B}_-)_\alpha^\beta$

n fund. hypers
 at the interface
 Generators

Right D3 branes
 generators $(\mathcal{B}_+)_\alpha^\beta$

$X_\alpha^\alpha, Y_\beta^\beta \leftarrow$ Weyl algebra

Yangian Truncation.

Recall: $Y(\mathfrak{gl}_n)$ is generated by $T[z]_a^b = \delta_a^b + \sum_{n=1}^{\infty} \frac{t_a^{(n)b}}{z^n}$, $a, b = 1 \dots n$, s.t.

$$(z-w) [T[z]_a^b, T[w]_c^d] = T[z]_c^b T[w]_a^d - T[w]_c^b T[z]_a^d$$

Define: • $T[z] = \mathbb{1} - X \frac{1}{z - B_+} Y$ or • $T[z] = \mathbb{1} + X \frac{1}{z + B_-} Y$

These obey Yangian relations, give surjective morphisms: $Y(\mathfrak{gl}_n) \rightarrow A_{N,n}$

• "Isomorphism" in the large- N limit.

• T_H on $A_{N,n}$ induces traces on $Y(\mathfrak{gl}_n)$.

On the S-dual side look at \mathcal{A}_c (n NS5's).

Engineered by a 3d $\mathcal{N}=4$ quiver: $\boxed{N} - \underbrace{(\textcircled{N}) - (\textcircled{N}) - \dots - (\textcircled{N}) - (\textcircled{N})}_{n-1 \text{ gauge nodes}} - \boxed{N}$

- 3d algebra \mathcal{A}_c is a truncation of $Y(\mathfrak{sl}_n)$ [Bullimore-Dimofte-Gaiotto, Braverman-Finkelberg-Nakajima]
- Couple to the bulk by promoting masses to fields \Rightarrow truncation of $Y(\mathfrak{gl}_n) = \mathcal{A}_c \equiv A_{N,n}$
- Apply [MD-Fan-Pufu-Yacoby] to find the trace. \Rightarrow Representation of $Y(\mathfrak{sl}_n)$ in terms of shift operators as in [Gerasimov-Kharchev-Lebedev-Oblezin].
- We will compute $\langle T[z_1]_{a_1}^{b_1} T[z_2]_{a_2}^{b_2} \dots T[z_L]_{a_L}^{b_L} \rangle$ from the Coulomb branch.

Let me first sketch the answer:

$$a_i, b_i = 1 \dots n$$

$$\langle T[z_1]_{a_1}^{b_1} T[z_2]_{a_2}^{b_2} \dots T[z_L]_{a_L}^{b_L} \rangle = \langle a_1, \dots, a_L | M_L | b_1, \dots, b_L \rangle,$$

$n^L \times n^L$ matrix $\xrightarrow{\quad}$

Interpret M_L as acting on \mathbb{C}^{n^L} , a Hilbert space of the sl_n spin chain of length L .

$M_L =$ linear combination of transfer matrices.

We will write M_L for $n=2$ explicitly
 \rightarrow inhomogeneous XXX spin chain.

[c.f. Bethe/Gauge
correspondence of
Nekrasov-Shatashvili]

Remark:

• Why do integrable spin chains appear?

(1) Finite-dimensional representations of the Yangian \leftrightarrow spin chains.

(2) Traces in finite-dim. repr. generate the algebra of traces*.

• Such traces are related to transfer matrices.

• We will also use special properties of truncated Yangians
(otherwise — too hard)

* Coproduct defines a commutative product on traces.

• One can build general traces starting from the traces over evaluation modules.

• Evaluation module of $Y(\mathfrak{g})$ is constructed from a given \mathfrak{g} -module:

L-operator:
$$L(z) = z \cdot \overset{n \times n}{\mathbb{1}} + \sum E_{ij} \otimes J_{ij}$$

\downarrow generators of \mathfrak{sl}_n
 \downarrow elementary \mathfrak{sl}_n matrices

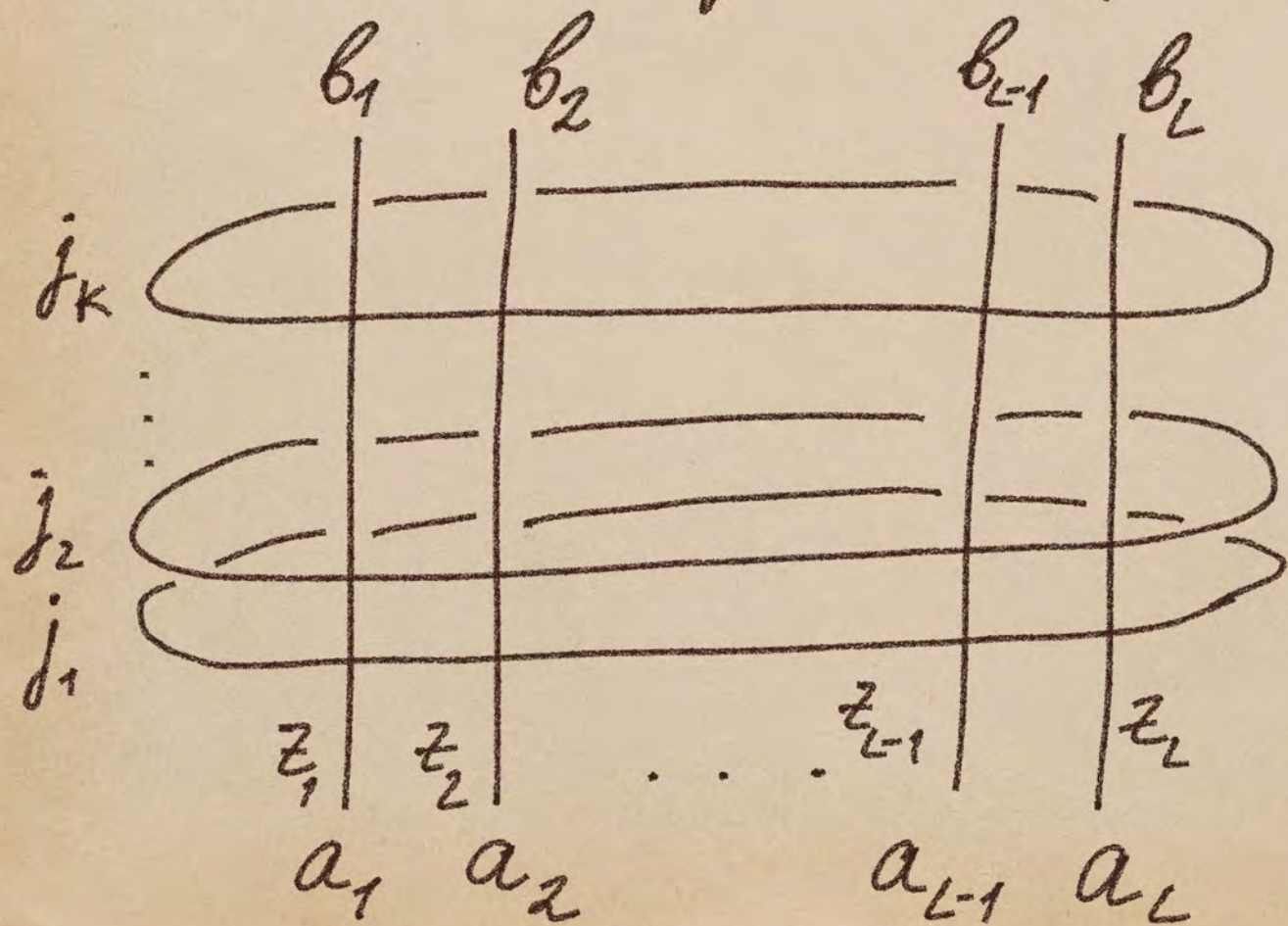
Satisfies:
$$R(x-y)(L(x) \otimes \mathbb{1})(\mathbb{1} \otimes L(y)) = (\mathbb{1} \otimes L(y))(L(x) \otimes \mathbb{1})R(x-y)$$

$$R(z) = z + P: \mathbb{C}^n \times \mathbb{C}^n \rightarrow \mathbb{C}^n \times \mathbb{C}^n;$$

• $L(z)$ determines an eval. module: $T(z) \mapsto \frac{1}{z} L(z)$

Pictorially: $\mathbb{L}(z)_a^b = \begin{array}{c} b \\ | \\ \text{---} j \\ | \\ z \\ | \\ a \end{array}$, j labels sl_n rep.

Twisted trace of $T[z_1]_{a_1}^{b_1} \dots T[z_L]_{a_L}^{b_L}$ in $[j_1] \otimes \dots \otimes [j_k]$:



Matrix element of a product of k transfer matrices of a length- L periodic inhomogeneous sl_n spin chain.

* Explain co-product.

can skip/

! In general, the space of traces on $Y(\mathfrak{sl}_n)$ is huge,
and we'd rather not work with it.

We have $Y(\mathfrak{sl}_n) \rightarrow A_c$ [quiver theory], and we only need
traces on this algebra. It has finitely many Verma modules.
We need traces over those!

Additional input: A_c [quiver theory] = "truncated Yangian"
It also admits the coproduct [thesis of A. Weekes]

Consider $n=2$. [$\mathcal{Y}(so_2)$, $\mathcal{Y}(sl_2)$, two fivebranes]

Using techniques of [MD-Fan-Pufu-Yacoby], can directly compute $\langle T[z_1]_{a_1}^{b_1} \dots T[z_L]_{a_L}^{b_L} \rangle^*$. . .

It turns out that . . .

The answer involves N sl_2 Verma modules.

I.e., $[j_1], \dots, [j_N]$ — eval. modules corresp. to sl_2 Verma modules of h.w. j_m .

* Only compute some correlators; enough to fix the answer.

Let me give more details. The quiver: $\square_N - \circlearrowleft_N - \square_N$ [U(N) SQCD]

Call its A_2 algebra $A_{N;2}[m]$.

• First solve the $N=1$ case using [MD-Fan-Pufu-Jacoby]

→ $A_{1;2}[m]$ has two Verma modules \Rightarrow two traces: [and two vacua]

$$Q_+(\vec{z}-\mu_1\vec{e})Q_-(\vec{z}-\mu_2\vec{e}) \quad \text{and} \quad Q_+(\vec{z}-\mu_2\vec{e})Q_-(\vec{z}-\mu_1\vec{e})$$

$$2 \sinh(\pi z) T_{-\frac{1}{2}+i\frac{\mu_1-\mu_2}{2}}^+ \parallel \vec{z} - \frac{\mu_1+\mu_2}{2}\vec{e} \quad 2 \sinh(\pi z) T_{-\frac{1}{2}+i\frac{\mu_2-\mu_1}{2}}^+ \parallel \vec{z} - \frac{\mu_1+\mu_2}{2}\vec{e}$$

→ Here $T_\alpha^+(\vec{z})$ is a transfer matrix for the Verma module of h.w. α

→ $\vec{z} = (z_1, \dots, z_L)$; $\vec{e} = (1, \dots, 1)$; μ_1, μ_2 - masses; Q_\pm - Baxter's Q-operators.

• Use the coproduct $A_{N;2}[m] \rightarrow A_{1;2}[m] \otimes \dots \otimes A_{1;2}[m]$ to argue:

$$\prod_{a=1}^N \underbrace{Q_+(\vec{z}-\mu_{\sigma(a)}\vec{e})Q_-(\vec{z}-\mu_{\sigma(a+N)}\vec{e})}_{\text{a trace on } A_{1;2}[m]}, \quad \sigma \in \frac{S_{2N}}{S_N \times S_N} \text{ are the } \binom{2N}{N} \text{ Verma traces}$$

Gaiotto-Okazaki '19

$\left[\binom{2N}{N} \right]$ choices: which N out of $2N$ masses appear inside Q_+ 's

Using localization results allows to uniquely determine the linear comb.

$$M_L = \sum_{\delta \in \frac{S_{2N}}{S_N \times S_N}} \frac{i^{-N^2} e^{i\pi\zeta \sum_{j=1}^{2N} \mu_j}}{(2 \sinh \pi\zeta)^N \prod_{a=1}^N \prod_{k=N+1}^{2N} 2 \sinh \pi(\mu_{\delta(a)} - \mu_{\delta(k)})} \prod_{a=1}^N Q_+(\vec{z} - \mu_{\delta(a)} \vec{e}) Q_-(\vec{z} - \mu_{\delta(a+N)} \vec{e})$$

\Rightarrow Twisted trace on $\mathcal{Y}[\mathfrak{sl}_2]$ [answer for a 3d theory]

Coupling this to the bulk, and after some combinatorics...

$$M_L = \frac{1}{N!} \int_{\mathbb{R}^N \times \mathbb{R}^N} [d\mu^L][d\mu^R] e^{-\frac{i\pi}{\tau} \text{tr}(\mu^L)^2 - \frac{i\pi}{\tau} \text{tr}(\mu^R)^2 + 2\pi i \zeta \sum_{a=1}^N \bar{\mu}_a} \Delta(\mu^L) \Delta(\mu^R) \prod_{j=1}^N \frac{\prod_{-1/2+i(\mu_j^L - \bar{\mu}_j)}^+ (\vec{z} - \bar{\mu}_j \vec{e}) - \prod_{-1/2+i(\mu_j^R - \bar{\mu}_j)}^+ (\vec{z} - \bar{\mu}_j \vec{e})}{2i \sinh \pi(\mu_j^L - \mu_j^R)}$$

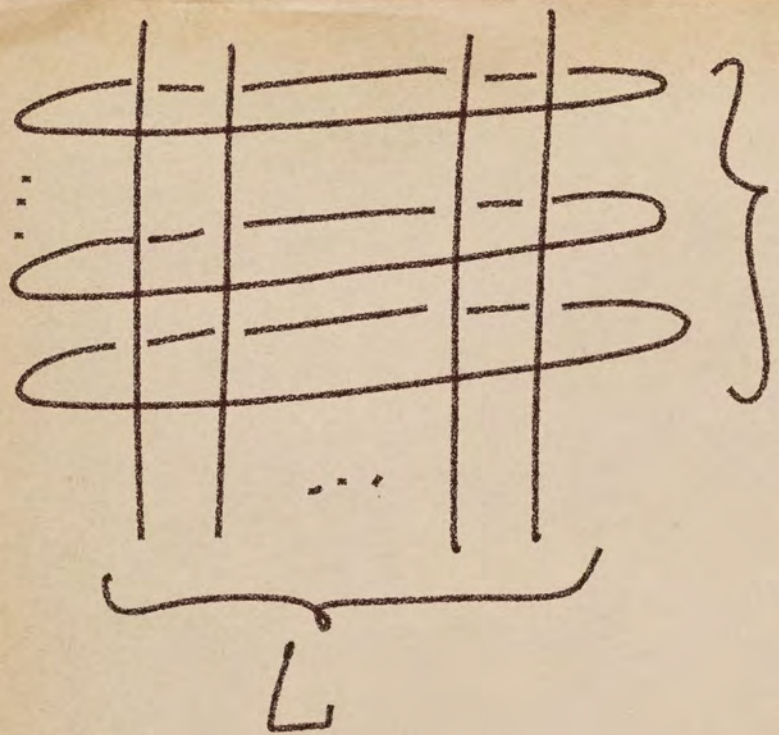
Here $\bar{\mu}_a = \frac{1}{2}(\mu_a^L + \mu_a^R)$.

\Rightarrow Twisted trace on $\mathcal{Y}[\mathfrak{gl}_2]$ [answer for an interface theory]

Remarkably explicit answer!

Q_{\pm} can be computed algorithmically
using the results of [Bazhanov-Lukowski-
Meneghelli-Staudacher]
(as traces over an auxiliary
oscillator Fock space)

Compute Q_{\pm} for each $L \Rightarrow$ answers for $\forall N$
Can do large- N etc... [especially for the interface,
complicated matrix integral...]



$N \leftarrow$ the same as
of D3 branes!

Ask me: relation to 4d CS.

To do: generalize to $n \geq 2$

To do: Analyze the large- N limit of the interface correlators.
Study AdS dual.

To do: this is a good setting for another example of
twisted holography (both 2d YM and 1d TQM at the interface)
/c.f. Ishtiaque - Faroogh Moosavian - Zhou '18 /
Faroogh Moosavian - Zhou '21

Thank You!