

# Classical Yang-Baxter equation, Lagrangian multiforms and ultralocal integrable hierarchies

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*Based on arXiv:2201.08286 with M. Stoppato, B. Vicedo*

## Integrable classical field theories in 1 + 1 dimensions

- Can be viewed as **Lagrangian systems** associated to an **action** with Lagrangian (density)  $\mathcal{L}[u]$

$$S[u] = \int_{\sigma} \mathcal{L}[u] dx \wedge dt$$

NB:  $\sigma$  is a two-dimensional manifold and  $\mathcal{L}[u] dx \wedge dt$  is a *volume* form.

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NB:  $\sigma$  is a two-dimensional manifold and  $\mathcal{L}[u] dx \wedge dt$  is a *volume* form.

- Can also be viewed as (infinite dimensional) **Hamiltonian systems**.

$$H[u] = \int_{\gamma} \mathcal{H}[u] dx, \quad \gamma \subseteq \mathbb{R}$$

# General context: Lagrange vs Hamilton?

- (Liouville) integrability: e.g. countable number of charges in involution defining compatible flows on the fields of the theory.

$$\{H_i, H_j\} = 0, \quad \partial_{t_i} = \{\cdot, H_i\}, \quad [\partial_{t_i}, \partial_{t_j}] = 0$$

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→ natural to think of an integrable systems as being part of an **integrable hierarchy**: The physical Hamiltonian is part of an infinite family  $H_1, H_2, \dots$ . The physical time is part of a hierarchy of times  $t_1, t_2, \dots$ .

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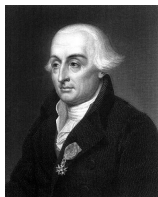
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- Integrability, both classically and quantum mechanically, has been studied overwhelmingly from the Hamiltonian point of view (Liouville theorem, bi-Hamiltonian systems, Quantum Inverse Scattering method, etc.)

# General context: Lagrange vs Hamilton?



Joseph-Louis  
Lagrange  
(1736-1813)



Which is more  
fundamental?



William Rowan Hamilton  
(1805-65)

- **Question:** how to capture/define (classical) integrability solely from the Lagrangian point of view? There is only one Lagrangian, as opposed to a hierarchy of Hamiltonians.

1. Variational criterion for integrability: Lagrangian multiforms
2. Lagrangian multiforms: key equations, properties, examples
3. How to construct a Lagrangian multiform?
  - a. Key example: Ablowitz-Kaup-Newell-Segur hierarchy
  - b. Important observations leading to generalisation
4. A generating Lagrangian multiform for ultralocal field theories and CYBE
5. Conclusions, outlook, open questions



# 1. Variational criterion for integrability: Lagrangian multiforms

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- Answer originally proposed in [Lobb, Nijhoff '09] (in the discrete setting). Presented here for field theories.

# 1. Variational criterion for integrability: Lagrangian multiforms

Back to the question: how to define (classical) integrability from the Lagrangian point of view?

- Answer originally proposed in [Lobb, Nijhoff '09] (in the discrete setting). Presented here for field theories.

1. Replace the Lagrangian volume form (denote  $x, t$  by  $t_1, t_2$ )

$$\mathcal{L}[u] = \mathcal{L}_{12}[u] dt_1 \wedge dt_2$$

by a **Lagrangian multiform**

$$\mathcal{L}[u] = \sum_{i < j} \mathcal{L}_{ij}[u] dt_i \wedge dt_j$$

→ a two-form on a higher dimensional manifold  $\mathcal{M}$  whose coordinates are the “times”  $t_i$  of the hierarchy.

# 1. Variational criterion for integrability: Lagrangian multiforms

2. Define an associated action

$$\mathcal{S}[u, \sigma] = \int_{\sigma} \sum_{i < j} \mathcal{L}_{ij}[u] dt_i \wedge dt_j.$$

# 1. Variational criterion for integrability: Lagrangian multiforms

2. Define an associated action

$$\mathcal{S}[u, \sigma] = \int_{\sigma} \sum_{i < j} \mathcal{L}_{ij}[u] dt_i \wedge dt_j.$$

and a **generalised variational principle**:

(i) A field  $u$  is critical for  $\mathcal{L}[u]$  if it is a critical configuration of  $\mathcal{S}[u, \sigma]$  for **“arbitrary” surface  $\sigma$  in  $\mathcal{M}$** .

(ii) On critical configurations, the value of the action  $\mathcal{S}[u, \sigma]$  is independent of  $\sigma$ : it is stationary with respect to local variations of the surface  $\sigma$ .

## 2. Lagrangian multiforms: key equations and properties

**Intuition behind the proposed principle:** The arbitrariness of  $\sigma$  implements variationally the idea of commuting Hamiltonian vectors fields in continuous setting.

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- Consequences of the generalised principle on simplest case:

$$\mathcal{L}[u] = \mathcal{L}_{12}[u]dt_1 \wedge dt_2 + \mathcal{L}_{13}[u]dt_1 \wedge dt_3 + \mathcal{L}_{23}[u]dt_2 \wedge dt_3$$

with

$$\mathcal{L}_{ij}[u] = \mathcal{L}_{ij}(u, u_{t_1}, u_{t_2}, u_{t_3}) \quad (\text{first order Lagrangians})$$

## 2. Lagrangian multiforms: key equations and properties

If  $\sigma = (t_1, t_2)$ -plane then

$$S[u, \sigma] = \int_{\mathbb{R}^2} \mathcal{L}_{12}(u, u_{t_1}, u_{t_2}, u_{t_3}) dt_1 \wedge dt_2$$

and

$$\begin{aligned} \delta_u S[u, \sigma] &= \int_{\mathbb{R}^2} \left( \frac{\partial \mathcal{L}_{12}}{\partial u} - \partial_{t_1} \frac{\partial \mathcal{L}_{12}}{\partial u_{t_1}} - \partial_{t_2} \frac{\partial \mathcal{L}_{12}}{\partial u_{t_2}} \right) \delta u \wedge dt_1 \wedge dt_2 \\ &+ \int_{\mathbb{R}^2} \left( \partial_{t_1} \left( \frac{\partial \mathcal{L}_{12}}{\partial u_{t_1}} \delta u \right) + \partial_{t_2} \left( \frac{\partial \mathcal{L}_{12}}{\partial u_{t_2}} \delta u \right) \right) dt_1 \wedge dt_2 \\ &+ \int_{\mathbb{R}^2} \left( \frac{\partial \mathcal{L}_{12}}{\partial u_{t_3}} \delta u_{t_3} \right) dt_1 \wedge dt_2 \end{aligned}$$



## 2. Lagrangian multiforms: key equations and properties

- Hence, one obtains:

① Euler-Lagrange equations for  $\mathcal{L}_{12}$ :  $\frac{\delta \mathcal{L}_{12}}{\delta u} = 0$ ;

② boundary terms  $\rightarrow 0$ ;

③ New structural equation  $\rightarrow \frac{\partial \mathcal{L}_{12}}{\partial u_{t_3}} = 0$ .

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- If  $\sigma = \sigma_1 \cup \sigma_2$  (union of two half-planes) then

$$S[u, \sigma] = \int_{\sigma_1} \mathcal{L}_{12} dt_1 \wedge dt_2 + \int_{\sigma_2} \mathcal{L}_{13} dt_1 \wedge dt_3$$

## 2. Lagrangian multiforms: key equations and properties

- Similar derivation gives
  - 1 Euler-Lagrange equations for  $\mathcal{L}_{12}$  and  $\mathcal{L}_{13}$ ;
  - 2  $\frac{\partial \mathcal{L}_{12}}{\partial u_{t_3}} = 0$  as before and  $\frac{\partial \mathcal{L}_{13}}{\partial u_{t_2}} = 0$ ;
  - 3 New structural equation

$$\frac{\partial \mathcal{L}_{12}}{\partial u_{t_2}} + \frac{\partial \mathcal{L}_{13}}{\partial u_{t_3}} = 0$$

## 2. Lagrangian multiforms: key equations and properties

**Summary:** generalised variational principle gives the **multi-time Euler-Lagrange equations** for the Lagrangian coefficients  $\mathcal{L}_{ij}$  of  $\mathcal{L}[u]$ . [Suris, Vermeeren '15]

- **General structure:**

- 1 Euler-Lagrange equations for each  $\mathcal{L}_{ij}$ ;
- 2 Structural equations on  $\mathcal{L}_{ij}$ , called “*corner equations*”  $\rightarrow$  select the  $\mathcal{L}_{ij}$  and good candidates for integrable theories.

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- Multi-time Euler-Lagrange equations rederived and generalised in several ways, e.g. [Sleigh, Nijhoff, Caudrelier '20].

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- Multi-time Euler-Lagrange equations rederived and generalised in several ways, e.g. [Sleigh, Nijhoff, Caudrelier '20].
  - Outcome: compact formulation achieved using the variational bicomplex formalism

$$\delta d\mathcal{L}[u] = 0$$

Several advantages: coordinates independent formulation, valid for  $d$ -form  $d = 1, 2, 3, \dots$ , for higher order Lagrangians

## 2. Lagrangian multiforms: key equations and properties

### Intuition behind the second requirement

- **On solutions**, the action is stationary with respect to local variations of the surface  $\sigma$ :

$$\mathcal{S}[u, \sigma] = \mathcal{S}[u, \sigma'] \Rightarrow \int_{\partial B} \mathcal{L}[u] = 0 \Rightarrow \int_B d\mathcal{L}[u] = 0$$

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→ **Closure relation:**  $d\mathcal{L}[u] = 0$  **on-shell.**

With

$$\mathcal{L}[u] = \sum_{i < j} \mathcal{L}_{ij}[u] dt_i \wedge dt_j$$

$$d\mathcal{L}[u] = \sum_{i < j < k} (\partial_{t_k} \mathcal{L}_{ij}[u] + \partial_{t_j} \mathcal{L}_{ki}[u] + \partial_{t_i} \mathcal{L}_{jk}[u]) dt_i \wedge dt_j \wedge dt_k$$

so, in components,

$$\partial_{t_k} \mathcal{L}_{ij}[u] + \partial_{t_j} \mathcal{L}_{ki}[u] + \partial_{t_i} \mathcal{L}_{jk}[u] = 0$$

## 2. Lagrangian multiforms: key equations and properties

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- $\delta d\mathcal{L} = 0$  linked to commutativity of Hamiltonian flows and closure relation linked to known criterion  $\{H_i, H_j\} = 0$  (for certain Lagrangian 1-forms and 2-forms [Suris '13; Vermeeren '21])

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- The main topic today: link to classical  $r$ -matrix and classical Yang-Baxter equation.

### 3. How to construct a Lagrangian multiform?

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- In principle,  $\mathcal{L}_{1n}$  not so hard: Legendre transform the known hierarchy of Hamiltonians  $H_n$ . The other  $\mathcal{L}_{ij}$  are the main problem.
- Technical and difficult problem: several methods (brute force, variational symmetries, discrete to continuum). Results essentially for a finite number of levels in the hierarchy [Suris, Vermeeren '16; Sleight, Nijhoff, Caudrelier '19; Vermeeren '19; Petrera, Vermeeren '19]



### 3. How to construct a Lagrangian multiform?

**Example:** Nonlinear Schrödinger and modified KdV levels in Ablowitz-Kaup-Newell-Segur hierarchy

$$\begin{aligned}q_2 - \frac{i}{2}q_{11} + iq^2r &= 0, & r_2 + \frac{i}{2}r_{11} - iqr^2 &= 0, \\q_3 + \frac{1}{4}q_{111} - \frac{3}{2}qrq_1 &= 0, & r_3 + \frac{1}{4}r_{111} - \frac{3}{2}qrr_1 &= 0.\end{aligned}$$

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Lagrangians

$$\begin{aligned}\mathcal{L}_{12} &= \frac{1}{2}(rq_2 - qr_2) + \frac{i}{2}q_1r_1 + \frac{i}{2}q^2r^2 \\ \mathcal{L}_{13} &= \frac{1}{2}(rq_3 - qr_3) - \frac{1}{8}(r_1q_{11} - q_1r_{11}) - \frac{3qr}{8}(rq_1 - qr_1)\end{aligned}$$

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### 3. How to construct a Lagrangian multiform?

Our method: Confluence of several ideas

1) Generating formalism and “compounding the hierarchy” idea advocated e.g. in [Nijhoff '83] in the Lagrangian formalism.

2) Zakharov-Mikhailov insightful result on Lagrangian formulation of zero curvature equations for rational Lax pairs.

[Zakharov, Mikhailov '80]

3) Flaschka-Newell-Ratiu (FNR) construction of the Ablowitz-Kaup-Newell-Segur (AKNS) hierarchy [Flaschka, Newell, Ratiu '83] and the comparison of their generating function for conservation laws with our known first few covariant Hamiltonians  $\mathcal{H}_{ij}$  for AKNS [Caudrelier, Stoppato '20].

### 3. How to construct a Lagrangian multiform?

Idea 1): generating Lagrangian multiform

- Assemble the Lagrangian coefficients  $\mathcal{L}_{ij}$  into a formal series

$$\mathcal{L}(\lambda, \mu) = \sum_{i,j=0}^{\infty} \frac{\mathcal{L}_{ij}}{\lambda^{i+1} \mu^{j+1}}$$

- Propose a formula for  $\mathcal{L}(\lambda, \mu)$ .

### 3. How to construct a Lagrangian multiform?

Idea 2) and 3): form of  $\mathcal{L}(\lambda, \mu)$

- $\mathcal{L}(\lambda, \mu) = K(\lambda, \mu) - V(\lambda, \mu)$  with

$$K(\lambda, \mu) = \text{Tr}(\phi(\mu)^{-1} \partial_\lambda \phi(\mu) Q_0 - \phi(\lambda)^{-1} \partial_\mu \phi(\lambda) Q_0),$$

$$V(\lambda, \mu) = -\frac{1}{2} \text{Tr} \frac{(Q(\lambda) - Q(\mu))^2}{\lambda - \mu}.$$

where  $Q_0 = -i\sigma_3$ ,  $\partial_\mu \equiv \sum_{k=0}^{\infty} \frac{1}{\mu^{k+1}} \partial_{t_k}$ , and

$$\phi(\lambda) = \mathbb{I} + \sum_{j=1}^{\infty} \frac{\phi_j}{\lambda^j}, \quad Q(\lambda) = \phi(\lambda) Q_0 \phi^{-1}(\lambda)$$

→ formal dressing in  $\mathfrak{sl}_2$  loop algebra.

### 3. How to construct a Lagrangian multiform?

Why am I claiming that we have a Lagrangian multiform for the AKNS hierarchy?

- [Flaschka, Newell, Ratiu '83] showed that, with

$$Q(\lambda) = \sum_{j=0}^{\infty} Q_j \lambda^{-j}, \quad Q_j = \begin{pmatrix} a_j & b_j \\ c_j & -a_j \end{pmatrix} \in \mathfrak{sl}_2, \quad Q_0 = -i\sigma_3,$$

all (positive) AKNS flows can be written as

$$\partial_{t_k} Q(\lambda) = [V^{(k)}(\lambda), Q(\lambda)], \quad k \geq 0$$

where

$$V^{(k)}(\lambda) = P_+(\lambda^k Q(\lambda)) = \sum_{j=0}^k Q_j \lambda^{k-j} \quad (\mathbf{Lax\ matrix\ for\ } t_k \text{ flow})$$

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- **Zero curvature equations** for the whole hierarchy hold

$$\partial_{t_j} V^{(k)}(\lambda) - \partial_{t_k} V^{(j)}(\lambda) + [V^{(k)}(\lambda), V^{(j)}(\lambda)] = 0 \quad j, k \geq 0$$



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- $(V^{(1)}(\lambda), V^{(2)}(\lambda)) = \text{Lax pair for NLS}$ ,  $(V^{(1)}(\lambda), V^{(3)}(\lambda)) = \text{Lax pair for NLS}$  

### 3. How to construct a Lagrangian multiform?

- Now, introduce formal series

$$\partial_\mu \equiv \sum_{k=0}^{\infty} \frac{1}{\mu^{k+1}} \partial_{t_k}, \quad \frac{1}{\mu - \lambda} \equiv \sum_{k=0}^{\infty} \frac{\lambda^k}{\mu^{k+1}}$$

to get

$$\partial_{t_k} Q(\lambda) = [V^{(k)}(\lambda), Q(\lambda)] \quad k \geq 0 \Leftrightarrow \partial_\mu Q(\lambda) = \left[ \frac{Q(\mu)}{\mu - \lambda}, Q(\lambda) \right].$$

→ **Generating Lax equation for integrable hierarchy.**

### 3. How to construct a Lagrangian multiform?

Now we have

#### Theorem

$\mathcal{L}(\lambda, \mu)$  is a Lagrangian multiform for the AKNS hierarchy equations i.e.

$$\delta d\mathcal{L} = 0 \Leftrightarrow \partial_\mu Q(\lambda) = \left[ \frac{Q(\mu)}{\mu - \lambda}, Q(\lambda) \right],$$

and  $d\mathcal{L} = 0$  on these equations (closure relation). In generating form, the latter is equivalent to

$$\partial_\nu \mathcal{L}(\lambda, \mu) + \partial_\lambda \mathcal{L}(\mu, \nu) + \partial_\mu \mathcal{L}(\nu, \lambda) = 0.$$

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Corollary: The generating Lax equation for the AKNS hierarchy is variational! FNR had shown the flows were Hamiltonian but no Lagrangian interpretation was known.

### 3. How to construct a Lagrangian multiform?

- Euler-Lagrange eqs for  $\mathcal{L}_{ij}$  are equivalent to the corresponding zero curvature equation

$$\partial_{t_i} V^{(j)}(\lambda) - \partial_{t_j} V^{(i)}(\lambda) + [V^{(j)}(\lambda), V^{(i)}(\lambda)] = 0$$

- Explicit calculation reproduces

$$\mathcal{L}_{12} = \frac{1}{2}(rq_2 - qr_2) + \frac{i}{2}q_1r_1 + \frac{i}{2}q^2r^2$$

$$\mathcal{L}_{13} = \frac{1}{2}(rq_3 - qr_3) - \frac{1}{8}(r_1q_{11} - q_1r_{11}) - \frac{3qr}{8}(rq_1 - qr_1)$$

and gives other systematically.

### 3. How to construct a Lagrangian multiform?

Reinterpretation with classical  $r$ -matrix

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#### Reinterpretation with classical $r$ -matrix

- The kernel  $1/(\mu - \lambda)$  is typical of the **rational  $r$ -matrix**

$$r_{12}(\lambda, \mu) = \frac{P_{12}}{(\mu - \lambda)}$$

$$P_{12} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \text{ permutation operator on } \mathbb{C}^2 \otimes \mathbb{C}^2$$

### 3. How to construct a Lagrangian multiform?

#### Reinterpretation with classical $r$ -matrix

- Then

$$\partial_\mu Q(\lambda) = \left[ \frac{Q(\mu)}{\mu - \lambda}, Q(\lambda) \right] \Leftrightarrow \partial_\mu Q_1(\lambda) = [\mathrm{Tr}_2 r_{12}(\lambda, \mu) Q_2(\mu), Q_1(\lambda)] .$$



### 3. How to construct a Lagrangian multiform?

#### Reinterpretation with classical $r$ -matrix

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$$\partial_\mu Q(\lambda) = \left[ \frac{Q(\mu)}{\mu - \lambda}, Q(\lambda) \right] \Leftrightarrow \partial_\mu Q_1(\lambda) = [\mathrm{Tr}_2 r_{12}(\lambda, \mu) Q_2(\mu), Q_1(\lambda)] .$$

- Generating function for the Lax matrices

$$V(\lambda, \mu) = \mathrm{Tr}_2 r_{12}(\lambda, \mu) Q_2(\mu) = \sum_{k=0}^{\infty} \frac{1}{\mu^{k+1}} V^{(k)}(\lambda)$$

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#### Reinterpretation with classical $r$ -matrix

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- Jacobi identity ensured by the **Classical Yang-Baxter equation**

$$[r_{12}(\lambda, \mu), r_{13}(\lambda, \nu)] + [r_{12}(\lambda, \mu), r_{23}(\mu, \nu)] - [r_{13}(\lambda, \nu), r_{32}(\nu, \mu)] = 0.$$

### 3. How to construct a Lagrangian multiform?

#### Liouville integrability from classical $r$ -matrix formalism

- From

$$\{V_1^{(k)}(\lambda), V_2^{(k)}(\mu)\}_k = [r_{12}(\lambda, \mu), V_1^{(k)}(\lambda) + V_2^{(k)}(\mu)]$$

the **monodromy matrix**  $T^{(k)}(\lambda)$  associated to  $V^{(k)}(\lambda)$  satisfies Sklyanin quadratic Poisson bracket

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$$\{T_1^{(k)}(\lambda), T_2^{(k)}(\mu)\}_k = [r_{12}(\lambda, \mu), T_1^{(k)}(\lambda)T_2^{(k)}(\mu)]$$

- Consequence

$$\{\mathrm{Tr} T^{(k)}(\lambda), \mathrm{Tr} T^{(k)}(\mu)\}_k = 0 \Rightarrow \{H_i, H_j\}_k = 0$$

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

Key observations for our AKNS generating Lagrangian multiform : beyond a single hierarchy.

1. The potential term in  $\mathcal{L}(\lambda, \mu)$  has a characteristic form

$$\mathrm{Tr}_{12} (r_{12}(\lambda, \mu) Q_1(\lambda) Q_2(\mu))$$

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→ How about replacing this particular  $r$ -matrix with another (skew-symmetric)  $r$ -matrix?

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

2. The choice of expanding all the objects as formal series in  $1/\lambda$  and  $1/\mu$  is a sign that one is performing an expansion around the point at infinity.



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→ How about considering other elements in the loop algebra to construct different phase spaces and even considering other Lie algebras than  $\mathfrak{sl}_2$ ?

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

- Careful implementation of these natural observations involves using the **Lie algebra of  $\mathfrak{g}$ -valued adèles** associated with a Lie algebra  $\mathfrak{g}$  instead of the loop algebra of  $\mathfrak{sl}_2$  [Semenov-Tian-Shansky '08].

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

- Careful implementation of these natural observations involves using the **Lie algebra of  $\mathfrak{g}$ -valued adèles** associated with a Lie algebra  $\mathfrak{g}$  instead of the loop algebra of  $\mathfrak{sl}_2$  [Semenov-Tian-Shansky '08].
- In a nutshell, with  $\lambda_a = \lambda - a$  for  $a \in \mathbb{C}$  and  $\lambda_\infty = \frac{1}{\lambda}$ ,

$$\mathcal{A}_\lambda(\mathfrak{g}) := \prod_{a \in \mathbb{C}P^1} \mathfrak{g} \otimes \mathbb{C}((\lambda_a)),$$

An element  $\mathbf{X}(\boldsymbol{\lambda}) = (X^a(\lambda_a))_{a \in \mathbb{C}P^1}$  of this algebra consist of tuples with all but finitely many of the formal Laurent series  $X^a(\lambda_a) \in \mathfrak{g} \otimes \mathbb{C}((\lambda_a))$  being Taylor series in  $\lambda_a$ , *i.e.* there exists a finite subset  $S \subset \mathbb{C}P^1$  such that  $X^a(\lambda_a) \in \mathfrak{g} \otimes \mathbb{C}[[\lambda_a]]$  for every  $a \in \mathbb{C} \setminus S$ .

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Schematic implementation of the generalisation

$$\mathfrak{sl}_2 \quad \rightarrow \quad \mathfrak{g}$$

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$$\begin{array}{ll} \mathfrak{sl}_2 & \rightarrow \\ \infty & \rightarrow \\ \text{times } t_n & \rightarrow \\ \partial_\mu = \sum_{k=0}^{\infty} \frac{1}{\mu^{k+1}} \partial_{t_k} & \rightarrow \end{array} \quad \begin{array}{l} \mathfrak{g} \\ S \subset \mathbb{C}P^1 \\ \text{times } t_n^a, \quad a \in S \\ \mathcal{D}_{\lambda_a} = \sum_n \lambda_a^n \partial_{t_n^a} \end{array}$$

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## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

- **Elementary Lagrangians** computed as

$$\mathcal{L}_{m,n}^{a,b} := \operatorname{res}_a^\lambda \operatorname{res}_b^\mu \mathcal{L}^{a,b}(\lambda_a, \mu_b) \lambda^{-m-1} d\lambda \mu^{-n-1} d\mu$$

- **Elementary Lax matrices**  $V_m^a(\lambda)$  similarly computed from

$$V(\lambda; \mu) := \operatorname{Tr}_2 (\iota_\mu r_{12}(\lambda, \mu) \mathbf{Q}_2(\mu))$$

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- **Key message:** Euler-Lagrange eqs for  $\mathcal{L}_{m,n}^{a,b}$  equivalent to zero curvature equation for times  $t_m^a, t_n^b$

$$\partial_{t_n^b} V_m^a(\lambda) - \partial_{t_m^a} V_n^b(\lambda) + [V_m^a(\lambda), V_n^b(\lambda)] = 0$$

→ **Full integrable hierarchy in variational form.**

# 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

## Main results

### Theorem

*The generating Lax equation*

$$\mathcal{D}_\mu \mathbf{Q}_1(\lambda) = [\mathrm{Tr}_2(\iota_\lambda \iota_\mu r_{12}(\lambda, \mu) \mathbf{Q}_2(\mu)), \mathbf{Q}_1(\lambda)]. \quad (1)$$

*is variational: it derives from the multiform EL eqs for  $\mathcal{L}(\lambda, \mu)$ . The closure relation in generating form*

$$\mathcal{D}_\nu \mathcal{L}(\lambda, \mu) + \mathcal{D}_\mu \mathcal{L}(\nu, \lambda) + \mathcal{D}_\lambda \mathcal{L}(\mu, \nu) = 0$$

*holds as a consequence of the CYBE equation.*

### Theorem

*The flows (1) on the Lie algebra of  $\mathfrak{g}$ -valued adèles commute as a consequence of the CYBE*



## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

### Theorem

*The CYBE also ensures that the generating zero curvature equation holds*

$$\mathcal{D}_\nu V(\lambda; \mu) - \mathcal{D}_\mu V(\lambda; \nu) + [V(\lambda; \mu), V(\lambda; \nu)] = 0,$$

where

$$V(\lambda; \mu) := \text{Tr}_2 (\iota_\mu r_{12}(\lambda, \mu) Q_2(\mu))$$

generates the local Lax matrices as

$$V^b(\lambda; \mu_b) = \sum_{n=-N_b}^{\infty} V_n^b(\lambda) \mu_b^n, \quad b \in \mathbb{C},$$

$$V^\infty(\lambda; \mu_\infty) = \sum_{n=-N_\infty}^{\infty} V_n^\infty(\lambda) \mu_\infty^{n+k+1}.$$

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

Procedure to get examples.

Choose:

- (i) a skew-symmetric  $r$ -matrix (rational or trig for us),
- (ii) an effective divisor  $\mathcal{D} := \sum_{a \in S} N_a a$ , with support given by a finite subset  $S \subset \mathbb{C}P^1$ ,
- (ii) a Lie algebra  $\mathfrak{g}$  which for simplicity we take to be either  $\mathfrak{gl}_N$  or  $\mathfrak{sl}_N$ ,
- (iv) a  $\mathfrak{g}$ -valued rational function  $F(\lambda) \in R_\lambda(\mathfrak{g})$  with poles divisor  $(F)_\infty = \mathcal{D}$ , *i.e.* with a pole of order  $N_a$  at each point  $a \in S$ .

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

Recovering the original AKNS example.

Fix the data as

$$S = \{\infty\}, \quad N_\infty = 0, \quad \mathfrak{g} = \mathfrak{sl}_2, \quad F(\lambda) = -i\sigma_3,$$

and choose the rational  $r$ -matrix  $r_{12}(\lambda, \mu) = \frac{P_{12}}{\mu - \lambda}$ .

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

### Sine-Gordon hierarchy

For the hierarchy of the sine-Gordon equation (in light-cone coords)

$$u_{xy} + \sin u = 0,$$

we fix  $S = \{0, \infty\}$ ,  $N_0 = 1 = N_\infty$ ,  $\mathfrak{g} = \mathfrak{sl}_2$ ,

$$F(\lambda) = \frac{i}{2} \left( \frac{1}{\lambda} \sigma_+ + \sigma_- - \sigma_+ - \lambda \sigma_- \right)$$

and we choose the trigonometric  $r$ -matrix

$$r_{12}^{\text{trig}}(\lambda, \mu) = \frac{1}{2} \left( P_{12}^+ - P_{12}^- + \frac{\mu + \lambda}{\mu - \lambda} P_{12} \right)$$

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

We can derive all elementary Lagrangians. We find

$$\mathcal{L}_{\text{sG}} \equiv \mathcal{L}_{00}^{0,\infty} = -\frac{1}{4}u_x u_y - \frac{1}{2} \cos u$$

$$\mathcal{L}_{\text{mKdV}} \equiv \mathcal{L}_{01}^{\infty,\infty} = \frac{1}{4}u_x u_z + \frac{1}{16}u_x^4 - \frac{1}{4}u_{xx}^2 - \frac{i}{4}\partial_x \left( \frac{1}{6}u_x^3 + iu_x u_{xx} \right)$$

$$\begin{aligned} \mathcal{L}_{\text{mixed}} \equiv \mathcal{L}_{01}^{0,\infty} &= -\frac{1}{4}u_y u_z - \frac{1}{2}u_{xx}(u_{xy} + \sin u) + \frac{1}{4}u_x^2 \cos u \\ &\quad - \frac{i}{4}\partial_y \left( \frac{1}{6}u_x^3 + iu_x u_{xx} \right) \end{aligned}$$

Recover the results of [Suris '16].

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

### Hierarchies of Zakharov-Mikhailov type

Correspond to Lax matrices of Zakharov-Shabat type: rational Lax matrices with prescribed pole structures.

- In our setup, choose the following data

$$S = \{a_1, \dots, a_P\} \subset \mathbb{C}, \quad P > 0, \quad \mathfrak{g} = \mathfrak{gl}_N,$$

$$F(\lambda) = - \sum_{i=1}^P \sum_{r=0}^{n_i} \frac{A_{ir}}{(\lambda - a_i)^{r+1}}.$$

- Each  $A_{ir} \in \mathfrak{gl}_N$  is a non-dynamical constant matrix.
- $r$ -matrix can be the rational (original Zakharov-Mikhailov case) or trigonometric (new models). Even in rational case, obtain full hierarchy, not just a single model/level.

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

Most famous example: Faddeev-Reshetikhin version of Principal chiral model

- 2 simple poles  $a, b = -a$  in  $S$ ,

$$F(\lambda) = -\frac{A}{(\lambda - a)} - \frac{B}{(\lambda + a)}.$$

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- Lowest elementary Lax matrices for times  $t_{-1}^a \equiv \xi$ ,  $t_{-1}^{-a} \equiv \eta$

$$V_{-1}^a(\lambda) = \frac{\phi A \phi^{-1}}{\lambda - a} \equiv \frac{J_0}{\lambda - a}, \quad V_{-1}^b(\lambda) = \frac{\psi B \psi^{-1}}{\lambda + a} \equiv \frac{J_1}{\lambda + a}$$



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- Zero curvature equations

$$\partial_\eta J_0 + \frac{1}{2a} [J_0, J_1] = 0, \quad \partial_\xi J_1 + \frac{1}{2a} [J_0, J_1] = 0.$$

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

- We get the lowest elementary Lagrangian as

$$\mathcal{L}_{-1-1}^{ab} = \text{Tr} \left( \phi^{-1} \partial_\eta \phi A - \psi^{-1} \partial_\xi \psi B - \frac{\phi A \phi^{-1} \psi B \psi^{-1}}{2a} \right).$$

# 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

Coupling models/hierarchies

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### Coupling models/hierarchies

- Procedure produces new models/hierarchies that are automatically integrable. NB: not the same as taking combination of flows within a hierarchy.

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- Procedure produces new models/hierarchies that are automatically integrable. NB: not the same as taking combination of flows within a hierarchy.
- Example: couple nonlinear Schrödinger to Faddeev-Reshetikhin.

$$S = \{a, -a, \infty\}, \quad a \in \mathbb{C}^\times, \quad N_a = N_b = 1, \quad N_\infty = 0, \quad \mathfrak{g} = \mathfrak{sl}_2,$$

$$F(\lambda) = -i\alpha\sigma_3 + \frac{A}{\lambda - a} + \frac{B}{\lambda + a} \equiv \alpha F^{AKNS}(\lambda) + F^{FR}(\lambda),$$

where  $A, B$  are constant  $\mathfrak{sl}_2$  matrices.

- $\alpha$  couples the two theories:  $\alpha = 0$  gives a pure FR theory while sending  $\alpha$  to infinity produces a pure AKNS hierarchy.

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

- Extract model at lowest level. Can compute Lax matrices and zero curvature equations and Lagrangian that produces those equations.

$$\partial_\eta J_0 + \frac{1}{2a} [J_0, J_1] + \alpha [J_0, V_{NLS}(a)] = 0,$$

$$\partial_\xi J_1 + \frac{1}{2a} [J_0, J_1] - \alpha [U_{NLS}(-a), J_1] = 0,$$

$$\alpha \partial_\xi Q_1 + i\alpha^2 [\sigma_3, Q_2] + i\alpha [J_0, \sigma_3] = 0,$$

$$\alpha \partial_\eta Q_1 - \alpha \partial_\xi Q_2 + \alpha^2 [Q_1, Q_2] - i\alpha \alpha [J_0, \sigma_3] - i\alpha [\sigma_3, J_1] + \alpha [J_0, Q_1] = 0.$$

- If needed, can compute all higher levels in hierarchy.

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→ Conceptual by-products: (i) CYBE acquires a variational interpretation for first time; (ii) closure relation established as fundamental criterion for “Lagrangian integrability”.

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→ Conceptual by-products: (i) CYBE acquires a variational interpretation for first time; (ii) closure relation established as fundamental criterion for “Lagrangian integrability”.
  2. **Constructive** approach to **derive** (not guess), from minimal (algebraic) input, Lax pairs and Lagrangians for corresponding zero curvature equations. Applicable to large variety of integrable hierarchies, old and new.

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Many open questions.

*Classical level*

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- What about non ultralocal integrable theories? How to relate with other important construction of non ultralocal integrable theories via affine Gaudin models?

### *Quantum level*

- Covariant quantization of integrable field theories? Relation to quantum R matrix and quantum YBE?

THANK YOU!

## 4. A generating Lagrangian multiform for ultralocal field theories and CYBE

In formulas,

$$\mathcal{L}(\boldsymbol{\lambda}, \boldsymbol{\mu}) := \mathbf{K}(\boldsymbol{\lambda}, \boldsymbol{\mu}) - \mathbf{U}(\boldsymbol{\lambda}, \boldsymbol{\mu}) = (\mathcal{L}^{a,b}(\lambda_a, \mu_b))_{a,b \in \mathbb{C}P^1}$$

Kinetic and potential terms

$$\begin{aligned} \mathbf{K}(\boldsymbol{\lambda}, \boldsymbol{\mu}) = & \operatorname{Tr}(\phi(\boldsymbol{\lambda})^{-1} \mathcal{D}_{\boldsymbol{\mu}} \phi(\boldsymbol{\lambda}) (\iota_{\boldsymbol{\lambda}} F(\boldsymbol{\lambda}))_-) \\ & - \operatorname{Tr}(\phi(\boldsymbol{\mu})^{-1} \mathcal{D}_{\boldsymbol{\lambda}} \phi(\boldsymbol{\mu}) (\iota_{\boldsymbol{\mu}} F(\boldsymbol{\mu}))_-), \end{aligned}$$

$$\mathbf{U}(\boldsymbol{\lambda}, \boldsymbol{\mu}) = \frac{1}{2} \operatorname{Tr}_{12}((\iota_{\boldsymbol{\lambda}} \iota_{\boldsymbol{\mu}} + \iota_{\boldsymbol{\mu}} \iota_{\boldsymbol{\lambda}}) r_{12}(\boldsymbol{\lambda}, \boldsymbol{\mu}) \mathbf{Q}_1(\boldsymbol{\lambda}) \mathbf{Q}_2(\boldsymbol{\mu})).$$

*Generating Lax equation* reads

$$\mathcal{D}_{\boldsymbol{\mu}} \mathbf{Q}_1(\boldsymbol{\lambda}) = [\operatorname{Tr}_2(\iota_{\boldsymbol{\lambda}} \iota_{\boldsymbol{\mu}} r_{12}(\boldsymbol{\lambda}, \boldsymbol{\mu}) \mathbf{Q}_2(\boldsymbol{\mu})), \mathbf{Q}_1(\boldsymbol{\lambda})]. \quad (2)$$

**derives** from multiform EL eqs.