

Resurgence and BPS invariants

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YC-SEU, 23-Nov-2021

University of Geneva

1908.07065: Grassi, Gu, Marino

2007.10190: Garoufalidis, Gu, Marino

2012.00062: Garoufalidis, Gu, Marino

2104.07437: Gu, Marino

2111.04763: Garoufalidis, Gu, Marino, Wheeler

- Models in QM and QFT are typically studied through perturbation series in weak coupling limit

$$\varphi(g_s) = \sum_{n=0}^{\infty} a_n g_s^n, \quad a_n \sim \frac{n!}{A^n}.$$

Non-perturbative solitonic states ($\sim \exp(-1/g_s)$), e.g instantons, monopoles, etc, are more difficult to study.

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- In (extended) supersymmetric theories, (solitonic) BPS states are special.
 - ▶ They are annihilated by some supercharges.
 - ▶ They saturate the BPS bound $M = |Z|$.
 - ▶ In a typical gauge theory with charge lattice $\Gamma \cong \mathbb{Z}^{2r}$, the central charge is discretely valued $Z_\gamma = \gamma \cdot Z$.
 - ▶ The number of BPS states $\Omega(\gamma)$ is stable with respect to moduli of the theory (up to codim 1 walls of marginal stability).

- There are various ways to compute $\Omega(\gamma)$:
 - ▶ Quiver mutation [Alim-Cecotti-Cordova-Espahbodi-Rastogi-Vafa],[Del Monte-Longhi]
 - ▶ Spectral (exponential) network [Gaiotto-Moore-Neitzke]
 - ▶ Coulomb branch formula [Manschot-Pioline-Sen]
 - ▶ GW-DT correspondence [Maulik-Nekrasov-Okounkov-Pandharipande],[Bridgeland]

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- A new approach for $\Omega(\gamma)$: Resurgence analysis of the perturbation series $\varphi(g_s)$ of
 - ▶ either the supersymmetric theory itself;
 - ▶ or a dual theory which might not be supersymmetric.

Resurgence theory

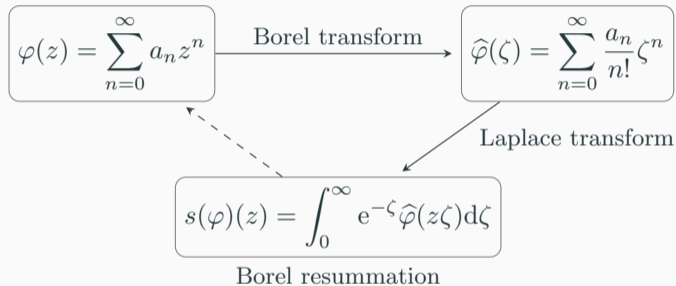
How to make sense of an asymptotic series

A typical Gevrey-1 asymptotic series in physics

$$\varphi(z) = \sum_{n=0}^{\infty} a_n z^n, \quad a_n \sim \frac{n!}{A^n}.$$

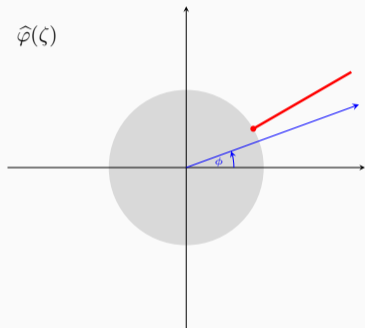
- How do we “sum” the asymptotic series?
- Is it possible to relate the series to its (path) integral and the series from other saddles?

Borel resummation



The Borel resummation $s(\varphi)(z)$ reproduces the series $\varphi(z)$ in small z expansion

Borel resummation

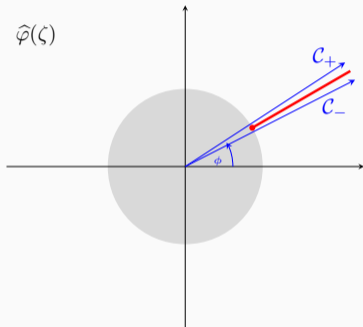


If there is no obstruction along $\phi = \arg z$ in the ζ -plane (Borel plane),

$$s(\varphi)(z) = \int_0^\infty e^{-\zeta} \widehat{\varphi}(e^{i\phi}|z|\zeta) d\zeta,$$

is a well defined integral.

Lateral Borel resummation



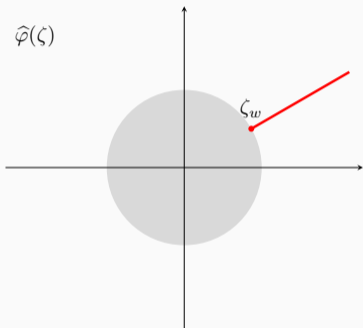
If there is obstruction along $\phi = \arg z$ (Stokes ray), one defines the lateral Borel resummations

$$s_{\pm}(\varphi)(z) = \int_0^{e^{i0^{\pm}} \infty} e^{-\zeta} \hat{\varphi}(z\zeta) d\zeta,$$

and Stokes discontinuity

$$\text{disc}(\varphi)(z) = s_+(\varphi)(z) - s_-(\varphi)(z).$$

Resurgent functions



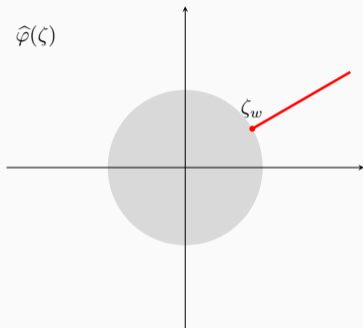
Expansion near ζ_w

$$\widehat{\varphi}(\zeta_w + \xi) = -S_w \frac{\log(\xi)}{2\pi} \widehat{\varphi}_w(\xi) + \widehat{r}_w(\xi)$$

with regular functions $\widehat{r}_w(\xi)$ and

$$\widehat{\varphi}_w(\xi) = \sum_{n \geq 0} a_{n,w} \xi^n,$$

Resurgent functions



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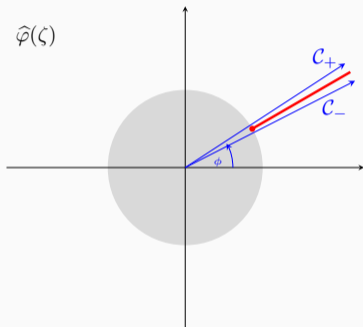
with regular functions $\widehat{r}_w(\xi)$ and

$$\widehat{\varphi}_w(\xi) = \sum_{n \geq 0} a_{n,w} \xi^n,$$

which is regarded as Borel transform of a resurgent series

$$\varphi_w(z) = \sum_{n \geq 0} a_{n,w} z^n, \quad \widehat{a}_{n,w} = \frac{a_{n,w}}{n!}.$$

Resurgent functions and Stokes discontinuity



Resurgence at ζ_w

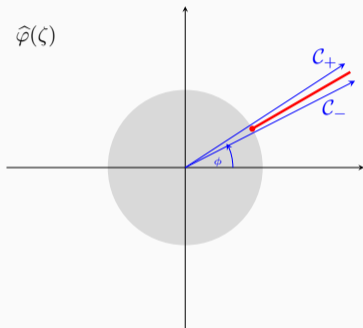
$$\widehat{\varphi}(\zeta_w + \xi) = -S_w \frac{\log(\xi)}{2\pi i} \widehat{\varphi}_w(\xi) + \widehat{r}_w(\xi)$$

implies Stokes discontinuity

$$\text{disc}_\phi \varphi(z) = S_w e^{-\zeta_w/z} s_-(\varphi_w)(z)$$

with Stokes constant S_w .

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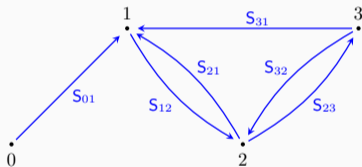
with Stokes constant S_w .

new saddle: $A_w - A_0 = \zeta_w$

Resurgent structure

Starting from one asymptotic series, one finds recursively resurgent asymptotic series, which form a **resurgent structure**:

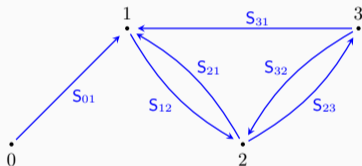
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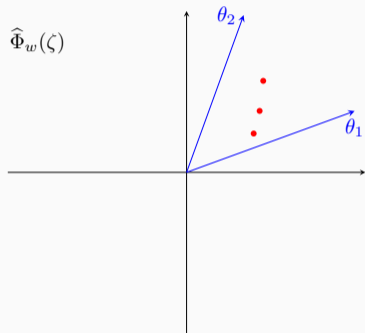
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- $\{S_{ww'}\}$ are new invariants, which are *non-perturbative* in nature.
- Sometimes $S_{ww'}$ can be interpreted as counting of BPS states.

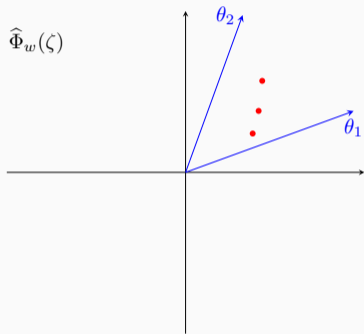
Stokes automorphism



(Local) Stokes automorphism \mathfrak{S}_θ at angle θ
acting on trans-series $\Phi_w(z) = e^{-A_w/z} \varphi_w(z)$

$$\mathfrak{S}_\theta \Phi_w = \Phi_w + \sum_{\arg(A_{w'} - A_w) = \theta} S_{ww'} \Phi_{w'}.$$

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Global Stokes automorphism between two angles

$$\mathfrak{S}_{\theta_1, \theta_2} = \overleftarrow{\prod}_{\theta_1 < \theta < \theta_2} \mathfrak{S}_\theta.$$

- Ordered product;
- Unique factorisation.

Comparison with Wall-Crossing formula

Let us recall the Wall-Crossing formula of Kontsevich-Soibelman for BPS invariants.

- Let Γ be lattice of elec./mag. charges with pairing \langle, \rangle , functions $\mathcal{X}_\gamma : \mathcal{M} \rightarrow \mathbb{C}^*$.

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- Define symplectomorphism [Kontsevich,Soibelman][Gaiotto,Moore,Neitzke]

$$\mathfrak{S}(\theta) = \prod_{\gamma_{\text{BPS}}: \arg(-Z_{\gamma_{\text{BPS}}}) = \theta} \mathcal{K}_{\gamma_{\text{BPS}}}$$

where $\mathcal{K}_{\gamma_{\text{BPS}}}$ acts by

$$\mathcal{K}_{\gamma_{\text{BPS}}} : \mathcal{X}_\gamma \rightarrow \mathcal{X}_\gamma (1 - \sigma(\gamma_{\text{BPS}}) \mathcal{X}_{\gamma_{\text{BPS}}})^{\Omega(\gamma_{\text{BPS}}) \langle \gamma, \gamma_{\text{BPS}} \rangle}$$

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- Global symplectomorphism (spectrum generator)

$$\mathfrak{S}(\theta_1, \theta_2) = \prod_{\theta_1 < \theta < \theta_2}^{\leftarrow} \mathfrak{S}(\theta).$$

- ▶ Ordered product;
- ▶ Unique factorisation.

Stokes constants vs BPS invariants

Stokes constants (if integers!)	BPS invariants
Stokes automorphism	KS symplectomorphism

Messages

- One could combine saddle action and saddle point expansion into trans-series.
- Saddle point trans-series are related to each other by Stokes automorphisms.
- Stokes automorphisms (constants) may be identified with KS symplectomorphism (BPS invariants).
- To compute Stokes constants, many terms in asymptotic series are required.

Example 1: Seiberg-Witten theory

Seiberg-Witten theory and its BPS spectrum

4d $\mathcal{N} = 2$ pure $SU(2)$ theory has moduli space identified with family of spectral curves

[Seiberg, Witten]

$$p^2 + 2\Lambda^2 \cosh x = 2u$$

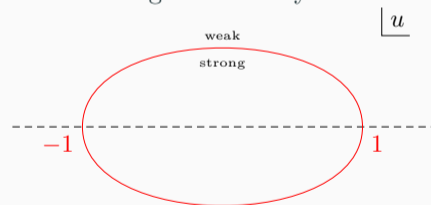
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Wall of marginal stability



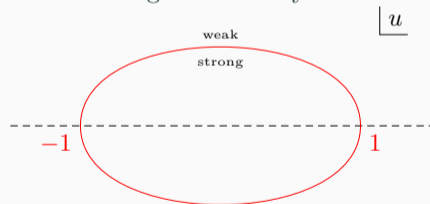
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Wall of marginal stability



BPS spectrum

- $|u| < 1$: Strong coupling

$$\pm(0, 1), \quad \pm(1, 1)$$

- $|u| > 1$: Weak coupling

$$\pm(1, 0), \quad \pm(\ell, 1), \quad \ell \in \mathbb{Z}$$

Quantum spectral curve

$$-\hbar^2 \psi''(x) + 2\Lambda^2 \cosh(x)\psi(x) = E\psi(x)$$

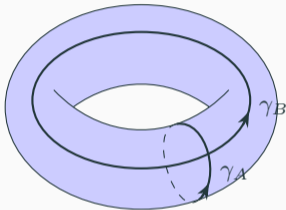
has WKB solutions

$$\psi(x, E) = \exp\left(\frac{i}{\hbar} \int^x p(x, E; \hbar) dx\right)$$

Quantum periods

Classical spectral curve

$H_1(\Sigma)$ gives lattice $\Gamma = \mathbb{Z}^2$ with pairing \langle, \rangle



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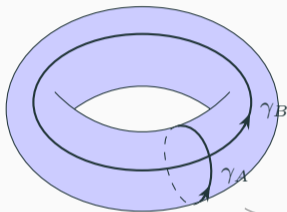
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Quantum periods: $\Pi_\gamma(E; \hbar) = \oint_\gamma p(x, E; \hbar) dx = \sum_{n=0} \Pi_\gamma^{(n)}(E) \hbar^{2n}$

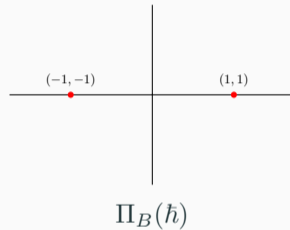
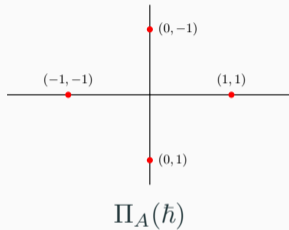
Voros symbols: $\Phi_\gamma(E; \hbar) = e^{\frac{1}{\hbar} \Pi_\gamma(E; \hbar)} = e^{\frac{1}{\hbar} \Pi_\gamma^{(0)}(E)} \exp \sum_{n \geq 1} \Pi_\gamma^{(n)}(E) \hbar^{2n-1}$

As solutions to an ODE, $p(x; \hbar)$ and thus $\Pi_\gamma(E; \hbar)$ can be computed efficiently to many terms.

Stokes automorphism

Borel singularities of quantum periods

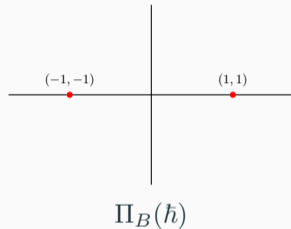
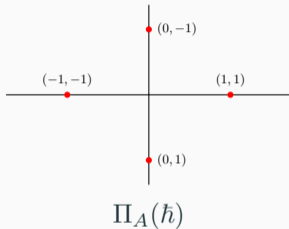
- $u = 0$



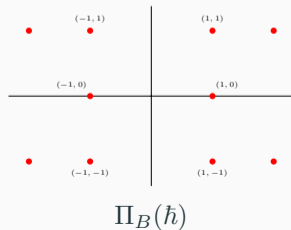
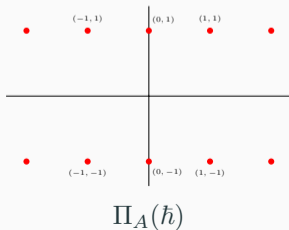
Stokes automorphism

Borel singularities of quantum periods

- $u = 0$



- $u = E/2 = 4$



Identification

A,B cycles

Saddle points

Classical period $\Pi_\gamma^{(0)}$

elec., mag. charges

BPS states

Central charge Z_γ

Identification

A,B cycles		elec., mag. charges
Saddle points		BPS states
Classical period $\Pi_\gamma^{(0)}$		Central charge Z_γ
Voros symbol Φ_γ		function \mathcal{X}_γ
Stokes automorphism		KS symplectomorphism
$\frac{1}{\hbar}\Pi_\gamma \rightarrow \frac{1}{\hbar}\Pi_\gamma + \mathbf{S}_{\gamma\gamma'} \log(1 - \sigma_{\gamma'} e^{\frac{1}{\hbar}\Pi'_\gamma})$	$\mathcal{X}_\gamma \rightarrow \mathcal{X}_\gamma (1 - \sigma_{\gamma_{\text{BPS}}} \mathcal{X}_{\gamma_{\text{BPS}}})^{\Omega_{\gamma_{\text{BPS}}} \langle \gamma, \gamma_{\text{BPS}} \rangle}$	
Stokes constants $\mathbf{S}_{\gamma\gamma'}$		BPS invariants $\Omega_{\gamma_{\text{BPS}}} \langle \gamma, \gamma_{\text{BPS}} \rangle$

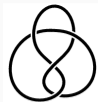
Example 2: Complex Chern-Simons theory



Chern-Simons

$SL(n, \mathbb{C})$ CS on

$$M = S^3 \setminus K$$



Quantum Topology

HOMFLY-PT (Jones, Alexander) polynomial

HOMFLY-PT (Khovanov, knot Floer) homology

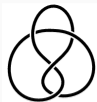
phys. real.



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3d-3d correspondence

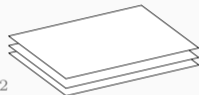


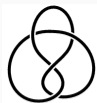
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3d SCFT

$T_n[M]$ on $S^1 \times \mathbb{R}^2$





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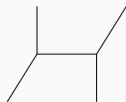
3d SCFT

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String Theory

Open GW invariants on resolved
conifold $O(-1) \oplus O(-1) \rightarrow \mathbb{C}P^1$



Action and saddle points

- Consider (complex) Chern-Simons theory with gauge algebra \mathfrak{g} on a 3d manifold M with the action [Witten][Gukov]

$$S = \frac{t}{8\pi} \int_M \text{Tr} \left(A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right) + \frac{\tilde{t}}{8\pi} \int_M \text{Tr} \left(\bar{A} \wedge d\bar{A} + \frac{2}{3} \bar{A} \wedge \bar{A} \wedge \bar{A} \right)$$

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- Saddle points are \mathfrak{g} flat connections on M

$$dA + A \wedge A = 0, \quad A \in \mathfrak{g},$$

classified via holonomies

$$\sigma : H_1(M) \rightarrow \mathbb{C}.$$

- Saddle point expansion around the flat connection σ [Dimofte-Gukov-Lenells-Zagier]

$$Z^{(\sigma)}(M, \hbar) \sim \exp \left(\frac{1}{\hbar} S_0^{(\sigma)} - \frac{1}{2} \delta^{(\sigma)} \log \hbar + \sum_{n=0}^{\infty} S_{n+1}^{(\sigma)} \hbar^n \right), \quad \hbar = 2\pi/t.$$

Saddle expansion of Chern-Simons

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- Let $\mathfrak{g} = SL(2, \mathbb{C})$ and $M = S^3 \setminus K$ (\cong solid torus):
 - ▶ Trivial (Abelian) flat connection $S_0^{(\sigma_0)} = 0$.
 - ▶ Geometric flat connection (Volume Conjecture) $S_0^{(\sigma_1)} = \text{Vol}(M) + i \text{CS}(M)$.

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- To work out the resurgent structure of $Z^{(\sigma)}(M, \hbar)$, we need to compute the trans-series efficiently.

Non-trivial flat connections: state integrals

- Perturbation series at non-Abelian flat connections are encoded in the [state integral](#) [Dimofte-Gukov-Lenells-Zagier]. For figure eight knot (4_1) [Hikami][Andersen,Kashaev]

$$Z_{4_1}(\mathbf{b}) = \int_{\mathbb{R}+i0} \Phi_{\mathbf{b}}(v)^2 e^{-\pi i v^2} dv, \quad \hbar = 2\pi \mathbf{b}^2$$

whose main ingredient is Faddeev's quantum dilogarithm $\Phi_{\mathbf{b}}(v)$.



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- It has two saddle points for geom. and conj. flat connections

$$Z^{(\sigma_1)}(\hbar) = e^{\mathcal{V}/\hbar} \left(1 + \frac{11\hbar}{72\sqrt{3}} + \frac{697\hbar^2}{2(72\sqrt{3})^2} + \dots \right),$$

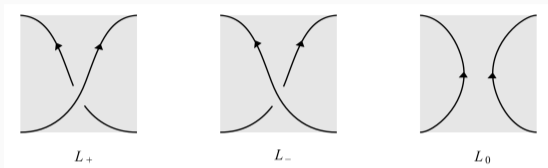
$$Z^{(\sigma_2)}(\hbar) = i Z^{(\sigma_1)}(-\hbar)$$

with $\mathcal{V} = \text{Vol}(S^3 \setminus \mathbf{4}_1)$.

- Can be computed efficiently with Gaussian expansion up to ~ 300 terms.

Jones polynomial

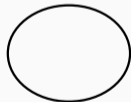
- Using skein relation:



with

$$q^{-1}J_{L_+}(q) - qJ_{L_-}(q) = (q^{\frac{1}{2}} - q^{-\frac{1}{2}})J_{L_0}(q)$$

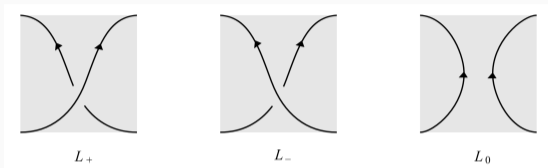
to compute



Unknot: $J^{\text{unknot}}(q) = 1$ Figure eight: $J^{\text{figure eight}}(q) = q^2 - q + 1 - q^{-1} + q^{-2}$

Jones polynomial

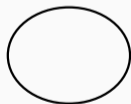
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Unknot: $J^{\text{unknot}}(q) = 1$ Figure eight: $J^{\text{figure eight}}(q) = q^2 - q + 1 - q^{-1} + q^{-2}$

- Promotion to Khovanov homology:

$$J^K(q) = \sum_{i,j} (-1)^i q^j \dim Kh_{i,j}(K)$$

Trivial flat connection: Jones polynomial

- Colored Jones polynomial

$$J_n^{\mathbf{4}_1}(q) = \sum_{k=0}^{n-1} (-1)^k q^{-k(k+1)/2} \prod_{j=1}^k (1 - q^{j+n})(1 - q^{j-n}).$$

is the vev of Wilson loop $\langle \mathbf{4}_1 \rangle_n$ along $\mathbf{4}_1$ with repr. n of $SL(2, \mathbb{C})$ and $q = \exp \frac{2\pi i}{t}$ [Witten].

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$$J_n^{\mathbf{4}_1}(e^h) = \sum_{i=0}^{\infty} \sum_{j=0}^i a_{i,j} n^j h^i \in \mathbb{Q}[[n, h]]$$

and the perturbative series $Z^{(\sigma_0)}(\hbar)$ for trivial flat connections is

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- $J_n^{\mathbf{4}_1}(q)$ (and thus $Z^{(\sigma_0)}(\hbar)$) can be computed efficiently by recursion relations in n .

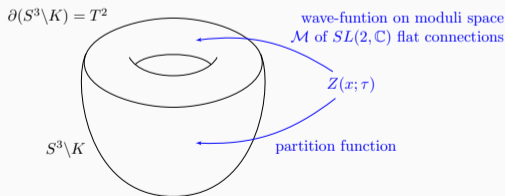
Another way of computation: Quantum A -polynomial

- Turn on holonomy on the boundary T^2 : $Z^{(\sigma)}(\hbar) \rightarrow Z^{(\sigma)}(x, \hbar)$.
- $Z^{(\sigma)}(x, \hbar)$ satisfy the difference equation (quantum A -polynomial)

$$\widehat{A}(\hat{x}, \hat{y})Z(x, \hbar) = 0,$$

with

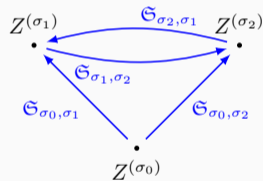
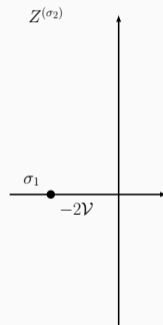
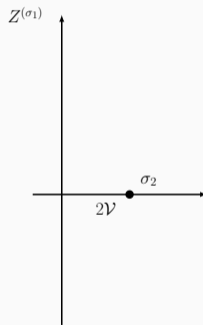
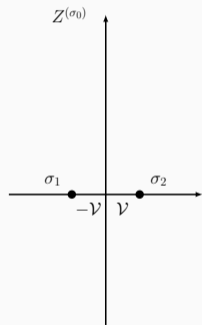
$$\hat{x}Z(x, \hbar) = xZ(x, \hbar), \quad \hat{y}Z(x, \hbar) = Z(qx, \hbar).$$



\widehat{A} is the Schrödinger equation on \mathcal{M} . [Gukov]

Borel singularities

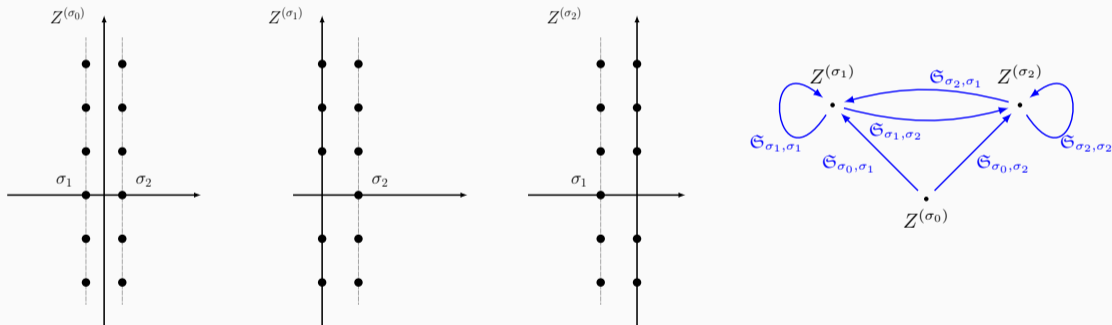
“Classical” Borel singularities [Gukov-Marino-Putrov][Gang-Hatsuda][Garoufalidis-Zagier]



Borel singularities

More singularities due to multivaluedness of CS action and the state integral potential

[Garoufalidis][Witten][Gukov-Marino-Putrov]

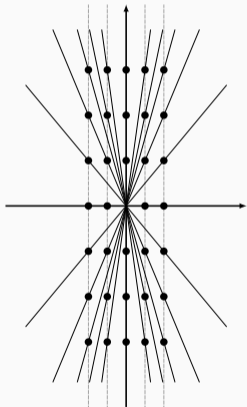


A family of trans-series but with the *same* power series

$$Z_n^{(\sigma_j)}(\hbar) = Z^{(\sigma_j)}(\hbar) e^{-n \frac{4\pi^2 i}{\hbar}}, \quad n \in \mathbb{Z}.$$

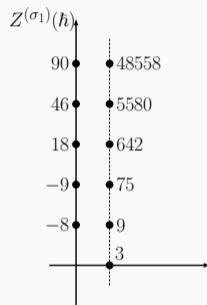
Peacock pattern of Stokes rays

- Stokes rays in the Borel plane for the vector $(Z^{(\sigma_0)}(\hbar), Z^{(\sigma_1)}(\hbar), Z^{(\sigma_2)}(\hbar))^T$.



Stokes constants are non-trivial integers

The Stokes constants are non-trivial *integers*!



- Complete set of Stokes constants can be solved!
- The Stokes q -series

$$S_{\sigma\sigma'}^{\pm}(q) = 1 + \sum_{n=1}^{\infty} S_{\sigma\sigma';\pm n} q^{\pm n}, \quad S_{\sigma\sigma';\pm n} \in \mathbb{Z}$$

are given by bilinear expressions in fundamental solutions of the equation

$$y_{m+1}(q) + y_{m-1}(q) - (2 - q^m)y_m(q) = 0$$

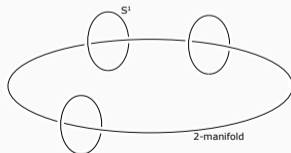
3d-3d correspondence

Wrap n M5 branes on $M \times \Lambda$, with topological twist on M

- M is a 3d manifold that allows hyperbolic metric: tetrahedron, $S^3 \setminus K$.

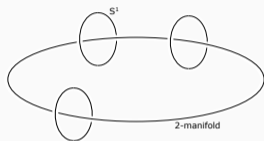


- Λ is a 3d Seifert manifold that has S^1 fibration: $\mathbb{R}^2 \times_q S^1$, $S^2 \times_q S^1$, S_b^3 / \mathbb{Z}_k .



3d-3d correspondence

There are two possibilities



×



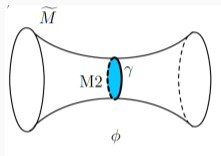
- Shrinking M leads to 3d $N = 2$ Chern-Simons-matter theory that flows to SCFT $T_n[M]$ on Λ in IR.

- Shrinking Λ leads to 3d $SL(n, \mathbb{C})$ Chern-Simons theory on M (supersymmetry is broken).

SUSY ground states of $T_n[M] = SL(n, \mathbb{C})$ flat connections on M

3d-3d correspondence

- BPS states in $T_n[M]$ arise from M2 branes ending on M5 branes.



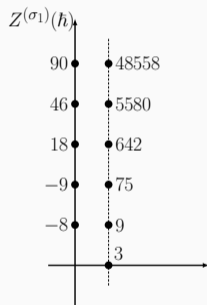
- If $M = S^3 \setminus K$, $T_n[M]$ has a $U(1)$ flavor symmetry. Define supersymmetric index [Dimofte, Gaiotto, Gukov]

$$\text{Ind}(m, \zeta; q) = \text{Tr}_{\mathcal{H}_m} (-1)^F q^{\frac{R}{2} + j_3} \zeta^e.$$

- $\text{Ind}(m, \zeta; q)$ is SUSY partition function of $T_n[M]$ on $S^2 \times_q S^1$.

Integer Stokes constants as BPS counting

The Stokes constants are non-trivial *integers*!



- Generating series of Stokes constants in positive imaginary axis

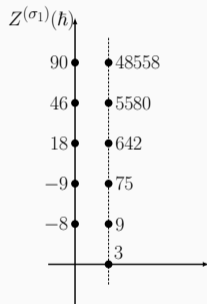
$$S_{\sigma_1 \sigma_1}^+(q) = 1 - 8q - 9q^2 + 18q^3 + 46q^4 + 90q^5 + \dots, \quad q = e^{4\pi^2 i/\hbar}.$$

(Conjecture) It coincides with index $\text{Ind}(0, 1; q)$ of dual 3d superconformal field theory!

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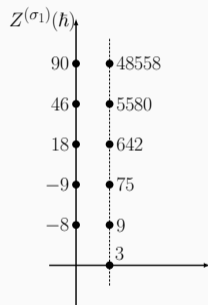
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- The generating series for other Stokes constants $S_{\sigma_1\sigma_2}^+(q), S_{\sigma_2\sigma_2}^+(q)$ are also identified with the index with magnetic flux turned on.

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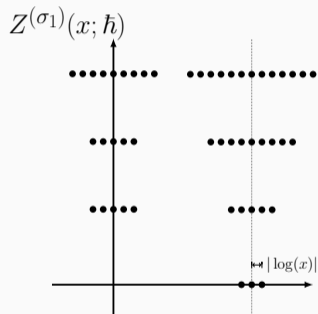
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- The generating series for other Stokes constants $S_{\sigma_1\sigma_2}^+(q), S_{\sigma_2\sigma_2}^+(q)$ are also identified with the index with magnetic flux turned on.
- *Can we turn on flavor fugacity ζ ?*

Turning on deformation



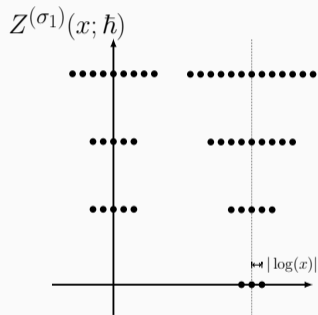
- Turning on holonomy $x = e^u$ on boundary

$$Z^{(\sigma_{1,2})}(\hbar) \rightarrow Z^{(\sigma_{1,2})}(x; \hbar) \sim \int \Phi_{\mathbf{b}}(v) \Phi_{\mathbf{b}}(v+u) e^{-\pi i(v^2 + 4uv)} dv$$

- Generating series of Stokes constants in vertical towers

$$S_{\sigma_1 \sigma_1}^+(x; q) = 1 - (2x^{-2} + x^{-1} + 2 + x + 2x^2)q - (x^{-2} + 2x^{-1} + 3 + 2x + x^2)q^2 + \mathcal{O}(q^3)$$

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- They coincide with the index $\text{Ind}(m, x; q)$ with the flavor fugacity turned on.

Conclusions and open questions

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- Stokes constants define new *non-perturbative* invariants.
- In some models (SW theory, complex Chern-Simons, topological string) they are non-trivial integers and are BPS countings,
- and they can be solved *completely*.

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- In some models (SW theory, complex Chern-Simons, topological string) they are non-trivial integers and are BPS countings,
- and they can be solved *completely*.

Open questions

- Proof of BPS interpretation of Stokes constants in complex Chern-Simons? [Gregory Moore, “Number Theory, Strings, and Quantum Physics”, Jun-2021]
- BPS interpretation of Stokes constants in $\mathcal{S}_{\sigma_0, \sigma_1}^+(q), \mathcal{S}_{\sigma_0, \sigma_2}^+(q)$?
- Resurgence in other theories where perturbative coefficients are efficiently computable (integrable models)?

Thank you for your attention!